

Hennepin County Consortium 2021 Annual Action Plan

Approved

www.hennepin.us/housing-plans

Consortium Members:

Hennepin County
City of Bloomington
City of Eden Prairie
City of Plymouth



Website

www.hennepin.us/housing-plans



HENNEPIN COUNTY

MINNESOTA

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Julia Welle Ayres, Senior Administrative Manager

Spencer Agnew, Principal Planning Analyst

Isak Collins, Principal Planning Analyst

Dale Cooney, Senior Planning Analyst

Valerie Hutchinson, Planning Analyst

Melisa Illies, Program Analysis Supervisor

Mike Jensen, Program Analysis Supervisor

Ben Jones, Senior Planning Analyst

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Kelly Koch, Senior Planning Analyst

Linda Lee, Senior Planning Analyst

Beau Lopez, Senior Planning Analyst

Tyler Moroles, Senior Planning Analyst

Matthew Moore, Principal Planning Analyst

Eliza Schell, Senior Planning Analyst

Melanie Stratmoen, Principal Office Specialist

Tonja West-Hafner, Program Analysis Supervisor

City of Bloomington

Bryan Hartman, Program Manager

City of Plymouth

Jim Barnes, HRA Manager

City of Eden Prairie

Jeanne Karschnia,

Housing Services Coordinator

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IMPORTANT INFORMATION

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Hennepin County proporciona intérpretes a su pedido, gratis para Usted.

COV LUS QHIA TSEEM CEEB

Qhov chaw Hennepin County muaj neeg txhais lus dawb, yog koj xa tau kev pab.

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Hennepin County waxa ay idiin heli kartaa tarjubaan lacag la'aan ah, haddii aad codsataan.

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По Вашей просьбе Hennepin County может бесплатно предоставить Вам услуги переводчика

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Hennepin County cung cấp dịch vụ thông dịch miễn phí, theo yêu cầu.

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AP-05 Executive Summary - 91.200(c), 91.220(b)

1. Introduction

The Hennepin County Consortium 2021 Action Plan has been prepared to meet statutory planning and application requirements for the receipt and use of three Housing and Urban Development (HUD) funding programs in suburban Hennepin County: Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), and Emergency Solutions Grant (ESG) Program.

The 2020-2024 Consolidated Plan set community development and affordable housing goals for the use of CDBG, HOME, and ESG for five program years, 2020-2024. The Consolidated Plan can be found at <https://www.hennepin.us/-/media/hennepinus/your-government/research-data/housing-plans/Final-Approved-2020-2024-ConPlan-and-2020-AAP-by-HUD-inc-Acknowledgments-and-TOC.pdf>.

The 2021 Action Plan outlines the specific ways in which CDBG, HOME, and ESG funding will be used in the 2021 Program Year, following the goals in the Consolidated Plan. The 2021 program year starts July 1, 2021 and ends June 30, 2022.

The Hennepin County Consortium is comprised of four entitlement jurisdictions - the Cities of Bloomington, Eden Prairie, and Plymouth – and the remaining 38 suburban cities in Hennepin County. Hennepin County's Housing and Economic Development Department is the Consortium's lead agency responsible for the Plan's development.

2. Summarize the objectives and outcomes identified in the Plan

The Consolidated Plan priorities were developed after a thorough review of demographic data, citizen and community input, other public policy and community plans, and past funding results in suburban Hennepin County. The Consolidated Plan seeks to address the following HUD and local objectives and outcomes for low to moderate-income residents in suburban Hennepin County.

Objectives:

- Provide decent housing
- Provide a suitable living environment
- Expand economic opportunities

Outcomes:

- Assisting homeless persons obtain affordable housing
- Increasing the availability of permanent housing that is affordable and accessible to low-income households
- Improving the safety and livability of neighborhoods
- Creating and retaining jobs

Through a collaborative process including city, non-profit, citizen participation, the following Priority Needs have been established for the use of CDBG, HOME, and ESG funding in suburban Hennepin County for the Consolidated Plan period:

- Preserve and create multifamily rental opportunities
- Preserve and create single family homeownership opportunities

- Create housing opportunities for homeless populations
- Promote education, outreach, and services
- Support neighborhood revitalization
- Stimulate economic development
- Administration, Fair Housing and Community Housing Development Organization (CHDO) operating

Goals were developed to meet these Priority Needs. Proposed program year 2021 Projects are in alignment with the identified Goals and Needs.

3. Evaluation of past performance

Each year the Consortium evaluates its performance relative to the Consolidated Plan through Consolidated Annual Performance Evaluation Report (CAPER). The 2020 Program Year will not be evaluated until the fall of 2021 (the 2020 CAPER).

The most recent CAPER evaluated the 2019 Program Year against the 2014-2019 Consolidated Plan goals. Over 6,169 households were served through CDBG, HOME, and ESG programs in the 2019 program year. More than half of households served had extremely low-incomes (below 30% of area median income). Some outcomes:

- Rehabilitated 55 owner-occupied homes for low-income households
- Created 10 new affordable homeownership opportunities through a combination of direct homebuyer assistance, acquisition, rehabilitation, and resale
- Provided rapid rehousing assistance to 81 homeless households, and tenant based rental assistance to 43 homeless or at-risk households
- Provided social services such as emergency assistance and tenant advocacy, domestic violence counseling, and youth and senior programming to over 6,054 households. Included more than \$110,000 in emergency rental assistance, serving more 2,471 households.
- Completed a revitalization project at Lakeland Park in the City of Brooklyn Park

While no new rental project units were completed during the 2019 Program Year, we have since closed on affordable rental projects in Maple Grove, Eden Prairie, and Edina. These will be reported in our 2020 CAPER. In addition, we are working toward finance closing on three other projects, which will contain 152 more affordable units.

4. Summary of Citizen Participation Process and consultation process

The nine cities that receive a "direct allocation" of CDBG funding through Hennepin County (Brooklyn Park, Brooklyn Center, Edina, Hopkins, Maple Grove, Minnetonka, New Hope, Richfield, St. Louis Park) held public hearings and provided council resolutions of support for the funding allocations in those cities. The Hennepin County Board of Commissioners held a public hearing on Tuesday, April 13, 2021 at 1:30 virtually. The public hearing was be lived streamed on the internet with closed caption, and residents could call in to provide prerecorded or real-time public comment during the hearing.

A copy of the 2021 Draft Action Plan was available April 1 through April 30, 2021 on the Hennepin County website: <http://www.hennepin.us/housing-plans>. Notice of the public comment period and public hearing was sent to the Hennepin County egov list, emailed to cities within Hennepin County for city newsletters and social media, and fliers were sent to the public housing agencies within Hennepin County. The published public

notices include the following language: "If you need help to make it possible for you to come and be active in the public hearing such as sign language interpreter or assisted hearing equipment, this help can be provided if you ask. To ask for help, please call 612-348-9260 at least three days prior to the hearing."

5. Summary of public comments

We did not receive any comments at the public hearing. We received two written comments. One was from the U of MN students who encourage us to support rain gardens. The other was from MICAH commenting on multiple things. Comments received and responses are attached at an exhibit for the final submission.

6. Summary of comments or views not accepted and the reasons for not accepting them

We did not have any comments not accepted, and reasons for not accepting them, will be included here in the final submission.

7. Summary

The projects in the 2021 Action Plan will advance our objectives to provide decent housing, provide a suitable living environment, and expand economic opportunities by:

- Provide social services to approximately 3,915 households
- Renovate Lakeland Park in Brooklyn Park, Osseo City Sidewalks, and increase ADA accessibility for Brooklyn Park.
- Construct 60 rental units in St. Louis Park
- Renovate and sell 10 affordable properties and provide direct assistance to 16 to owner occupants
- Rehabilitate 81 owner occupied houses
- Support 60 homeless households with rapid rehousing assistance and 40 homeless household with tenant based rental assistance

PR-05 Lead & Responsible Agencies - 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	HENNEPIN COUNTY	Housing and Economic Development
HOME Administrator	HENNEPIN COUNTY	Housing and Economic Development
ESG Administrator	HENNEPIN COUNTY	Housing and Economic Development

Table 1 – Responsible Agencies

Narrative

Hennepin County is the lead entity and responsible for overseeing the development, implementation, and evaluation of the Consolidated Plan for the Hennepin County Consortium. The allocation of funds differs by program.

Community Development Block Grant (CDBG)

The cities of Bloomington, Eden Prairie, and Plymouth are entitlement jurisdictions which receive CDBG directly from HUD.

The balance of the CDBG resources are administered by Hennepin County Housing and Economic Development.

- Public Services: The County sets aside the maximum allowed amount of CDBG for public services (15%) and offers these funds throughout the balance of suburban communities with a request for proposals process.
- Direct allocation cities: The County offers a proportional direct allocation of CDBG to cities with over 3.5% of the need using HUD’s allocation formula (population, poverty levels (double weighted), and overcrowding). Eligible cities utilize a public process to determine how to use CDBG funds in their community. The cities of Brooklyn Center, Brooklyn Park, Edina, Hopkins, Maple Grove, Minnetonka, New Hope, Richfield, and St. Louis Park currently fall into this category. The City of Crystal qualifies for a direct allocation but opted into the Consolidated Pool.
- Consolidated pool: The remaining funds, or consolidated pool, are offered on a request for proposal basis to projects serving the remaining suburban communities.

HOME Investment Partnership (HOME)

HOME funds are administered by Housing and Economic Development and are offered in a competitive request for proposal basis for projects located throughout suburban Hennepin County.

Emergency Solutions Grant (ESG)

ESG funds are administered by Housing and Economic Development in cooperation with the county's Health and Human Service area (HHS). Funds are offered on a request for proposal basis, in conjunction with other funds, for rapid re-housing for households referred from the Coordinated Entry System.

Consolidated Plan Public Contact Information

For questions regarding the Plan, please contact:

Julia Welle Ayres

Manager, Housing Development and Finance

Hennepin County Housing and Economic Development Department

612-543-4342 or julia.welleayres@hennepin.us

AP-10 Consultation - 91.100, 91.200(b), 91.215(I)

1. Introduction

Hennepin County leads the coordination for the Consolidated Plan and Action Plans. The cities of Bloomington, Eden Prairie, and Plymouth have created portions of the plan specific to those cities, which are part of the Hennepin County Consortium Consolidated Plan. These three cities are also responsible for the development of annual plans and reports for the respective CDBG programs. The Consolidated Plan and Action Plan is written in accordance with HUD guidelines regarding data requirements and the types of goals by income group and population.

County staff consulted with a wide variety of organizations in the development of the Consolidated Plan, as described below and in the Citizen Participation section. In addition to resident and community partner consultations, the county assessed the current state of housing and community development needs by primary and secondary research to supplement the U.S. Census American Communities Survey and CHAS Data supplied by HUD.

Every year, County staff again consult with cities and community partners, local reports, and updated Census data.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I)).

Hennepin County Housing and Economic Development (HED) Department works closely with partners in and outside of the County to coordinate the delivery and impact of housing and services.

HED has developed shared priorities and performance metrics with Hennepin HHS's Housing Stability Department, and work to align our strategies for greatest impact. We coordinate timing and goals in requests for housing capital and services funding proposals. This includes HOME funds together with the County Housing and Redevelopment Authority's Affordable Housing Initiative Fund (AHIF), state Housing Support funds, and federal McKinney-Vento (CoC) funds. Similarly, HHS combines our ESG funds with state Family Homeless Prevention and Assistance Program (FHPAP) funds for competitive selection and oversight.

Our Supportive Housing Strategy was developed specifically to increase this type of coordination and alignment across additional internal and external partners. The Strategy provides capital financing for supportive housing projects meeting criteria developed by the intended tenants and the agencies that support them. HED has so far partnered with the County's "Built for Zero" team to end chronic homelessness, mental health area, chemical health area, and child protection area to develop highly targeted supportive housing.

Hennepin County works closely with several PHAs. The county developed a referral process from the Coordinated Entry System for PHAs with a homeless preference for tenant-based Housing Choice Vouchers. The county also worked with Minneapolis PHA to launch a locally funded voucher program in which McKinney Vento liaisons connect up to 300 families and children at-risk of or experiencing homelessness with housing assistance and support services.

The most recent public documents of our coordination are found in the 2020-2024 Five Year Consolidated Plan at <https://www.hennepin.us/-/media/hennepinus/your-government/research-data/housing-plans/Final-Approved-2020-2024-ConPlan-and-2020-AAP-by-HUD-inc-Acknowledgments-and-TOC.pdf>.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

The Minneapolis/Hennepin County Continuum of Care (CoC) & Hennepin HHS's Housing Stability convene CoC members around specific target populations (Youth Collaborative, Family Services Network, Veteran Committee) and intervention types (Shelter Efficiency Network, The Outreach Group) in order to coordinate and develop new collective strategies to make homelessness rare, brief, and non-recurring. The CoC's Executive Committee coordinates these strategies, and the Funding Committee evaluates existing and proposed projects for service and reallocates funds to meet emerging needs.

All homeless designated housing programs that receive public funding are required to fill all vacancies through referrals from the Coordinated Entry System (CES). This allows for a system-wide assessment and prioritization of people most in need of each housing type. All permanent supportive housing projects prioritize chronically homeless persons and families, considering chronicity, length of time HUD homeless, and disability status, alongside household preferences.

Our community has very few homeless families that meet the HUD definition of chronic homelessness due to a shelter-all policy for families and access to rapid rehousing and permanent supportive housing through CES. Hennepin CoC has reduced family homelessness overall by 42% over the last six years as reflected in our Point-In Time (PIT) count data for HUD (2014 PIT people in families = 2,088; 2020 PIT people in families = 1,220).

For single adults, Housing Stability has developed a by-name list of those who meet the length-of-time homeless requirement for chronic homeless status. This list is used to target outreach and case management from Hennepin County Homeless Access Team and for case conferencing at a bi-weekly meeting attended by shelter and outreach staff, among others. They verify disability status and provide support to access housing. This approach dramatically accelerated housing outcomes from 76 chronically homeless individuals housed in 2018 to 285 individuals in 2019. In 2020, despite the pandemic and civil unrest, 216 chronically homeless individuals were housed.

Hennepin CoC adopted a by-name registry for veterans in 2015 and has since housed more than 1,028 veterans, more than 2/3 of all veterans identified as experiencing homelessness in that time. Outreach is provided at the Adult Opportunity Center, shelters & Drop-in Centers, through the VA MH Homeless Mobile Outreach program, and Minneapolis VA's Community Resource & Referral Center.

The youth-specific crisis response system in Hennepin CoC consists of multiple access points (crisis line, website and mobile app, drop-in centers, and street and school outreach), prevention services, youth-specific Emergency Shelter beds, Rapid ReHousing/Transitional Housing beds, Host Homes and Permanent Supportive Housing units. These are spread across providers who receive a combination of federal, state, county, and private funds.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Hennepin County HHS' Housing Stability Department is the Collaborative Applicant for the Minneapolis/Hennepin County Continuum of Care (CoC). The Continuum of Care Coordinator and CoC planning staff work with Hennepin County ESG staff to comply with the CoC regulations set forth in 578.7 & 578.95 by: 1) Establishing a CoC Governing board with both Hennepin CoC and ESG staff included in the membership. 2) Conduct an annual Needs & Gaps Analysis to establish priority populations and needs based on data to inform funding decisions. 3) Establish CoC Written Standards for providing CoC assistance. 4) Designate and Operate an HMIS system. 5) Established working committees that focus on all 6 components of our homeless response system to assure alignment across CoC and ESG resources for each. In addition, Hennepin County has research staff dedicated to monitoring homelessness trends and outcomes.

ESG funds are awarded based on a request for proposal process that is combined with other homeless assistance funds to maximize coordination and results. CoC Committees members regularly sit on funding approval committees for ESG and other funds. On behalf of this jurisdiction, the CoC funds the administration and works closely with the HMIS system administrator to implement HMIS data standards. The CoC and ESG jurisdictions contribute to our statewide HMIS system with a 2% contribution to assist with HMIS Data Standards compliance for CoC and ESG funded projects. The CoC holds an annual meeting to solicit feedback from community members, providers, and policy makers on the gaps and challenges facing the community and hosts extensive focus groups and community conversations with people who are experiencing homelessness.

2. Agencies, groups, organizations and others who participated in the process and consultations

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Heading Home Hennepin
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health Services-Education Services-Employment Service-Fair Housing Services - Victims Child Welfare Agency Publicly Funded Institution/System of Care Other government - County
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Heading Home Hennepin has played a key role in the development of all housing and homeless-related sections of the plan. Coordination will continue throughout the plan.

2	Agency/Group/Organization	Brooklyn Park
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Needs - Unaccompanied youth Market Analysis Economic Development Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Brooklyn Park is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
3	Agency/Group/Organization	City of Maple Grove
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Maple Grove is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
4	Agency/Group/Organization	NEW HOPE
	Agency/Group/Organization Type	Other government - Local

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of New Hope is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
5	Agency/Group/Organization	RICHFIELD
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Richfield is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
6	Agency/Group/Organization	City of St. Louis Park
	Agency/Group/Organization Type	PHA Other government - Local

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Saint Louis Park is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
7	Agency/Group/Organization	HOPKINS
	Agency/Group/Organization Type	PHA Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Hopkins is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
8	Agency/Group/Organization	MINNETONKA
	Agency/Group/Organization Type	Other government - Local

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Minnetonka is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
9	Agency/Group/Organization	City of Edina
	Agency/Group/Organization Type	Other government - Local
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Edina is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
10	Agency/Group/Organization	Brooklyn Center
	Agency/Group/Organization Type	Other government - Local

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homelessness Strategy Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City of Brooklyn Center is a Direct Allocation city and plays a critical role in the decisions made within Hennepin County's CDBG program especially in its own jurisdiction.
11	Agency/Group/Organization	Minnesota Housing
	Agency/Group/Organization Type	Other government - State
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs Market Analysis Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	

Identify any Agency Types not consulted and provide rationale for not consulting

n/a

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Hennepin County / Minneapolis CoC Governing Board	All homelessness goals outlined in the Consolidated Plan are consistent with the goals of the Continuum of Care.
Housing Policy Plan	Metropolitan Council	The goal of the Metropolitan Council's Housing Policy is to "Create housing options that give people in all stages of life and of all economic means viable choices for safe, stable and affordable homes. The Consolidated Plan, and the associated funding, is a tool to cities in meeting the affordable housing goals outlined in the HPP.
2020 Analysis of Impediments to Fair Housing	Lawyers Group under contract to regional Fair Housing Implementation Council	Affirmatively furthering Fair Housing actions are sourced from the findings in the 2020 Analysis of Impediments to Fair Housing Choice (AI) and build upon previous AIs.
PHAs 5-year Annual Plans	Hopkins HRA and HA of St. Louis Park	The PHAs in suburban Hennepin County aim to o create safe, affordable, suitable living environments for low-income households. This overlaps the goals of the Consolidated Plan related to rental housing, housing homeless populations, and economic development.
Hennepin County 2040 Comprehensive Plan	Hennepin County	The goals relating to economic development and workforce development overlap with the CEDS goals of "promoting employment growth and developing the workforce; and providing basic infrastructure and amenities (transportation, service buildings, libraries, and parks."
Choice, Place, and Opportunity	Metropolitan Council	The Fair Housing Equity Assessment for the Twin Cities region analyzes regional equity and access to opportunity. This overlaps with the goals of the Consolidated Plan related to rental housing, housing homeless populations, education, outreach and services, and economic development.

Table 3 – Other local / regional / federal planning efforts

Narrative

The above consultations and planning efforts were undertaken in preparation for the 2020-2024 Consolidated Plan. These consultations are continually reviewed and updated during the course of implementing the five-year strategy through the development of annual HUD performance reports, development of County strategy and policy, and inter-governmental and community planning efforts such as the request for proposal reviews, light-rail planning, and COVID-19 emergency response.

AP-12 Participation - 91.401, 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The Hennepin County Consortium hosted a robust community participation process to develop the 2020-2024 Consolidated Plan. The engagement process was designed to encourage all residents, especially non-English-speaking, Black, Indigenous and People of Color, and low-income communities to participate. First, Hennepin County Housing and Economic Development (HED) facilitated three listening sessions attended by nearly 100 residents who lived or worked in suburban Hennepin County, approximately 25% were people of color. Second, HED hosted a web-based survey, and targeted it to neighborhoods with highest concentrations of people with lowest incomes on platforms such as “Next Door”. More than 2,000 people completed the survey, and responses from low-income households and households of color were prioritized in analysis.

HED and jurisdiction cities utilized themes from resident input, together with data analysis, to set major goals and priorities for the 2020-2024 Consolidated Plan. Most notably, resident input led prioritization of emergency assistance, and of affordable housing development for lower income households.

The 2021 Action Plan’s goals and activities were developed to meet the 2020-2024 Consolidated Plan priorities and objectives. The 2021 Action Plan activities are also responsive to the new needs raised by residents due to the economic impacts of COVID-19. Throughout 2020 and early 2021, HED’s community partners have shared the sustained high need for any financial support to bridge unemployment, including emergency housing and utilities assistance, food assistance, and affordable housing. Through our emergency eviction moratorium, we have also seen a consistent need for further tenant advocacy and housing services to help people new to housing instability navigate complex systems.

Each entitlement jurisdiction and direct allocation city held public hearings regarding the use of CDBG funds. For entities holding public hearings under the shelter-in-place order during the COVID-19 pandemic, hearings were held virtually. In these cases, hearings still allowed residents to provide comment and ask questions to elected officials in real time, and the platform provided accessibility for persons with disabilities and limited English proficiency.

The Hennepin County Consortium is required to develop and follow a detailed Citizen Participation Plan. The Citizen Participation Plan sets forth the process to be followed at the community and county levels through the duration of the Consolidated Plan. The Citizen Participation Plan, together with the full overview of our 2020-2024 community engagement, is available as part of our Five-Year Consolidated Plan at <https://www.hennepin.us/your-government/research-data/housing-plans-accomplishments>. The county continuously accepts and evaluates public comments. Any resulting changes to the Action Plan would be made in accordance with the Citizen Participation Plan.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	Non-targeted/broad community	The public hearing was held April 13, 2021 at 1:30 pm. There were no attendees	None	N/A	https://www.hennepin.us/your-government/leadership/county-board-meetings
2	Newspaper Ad	Non-targeted/broad community	Public notice of the Public comment draft and period would be available April 1-April 30, 2021.	two written comments	N/A	n/a
3	Internet Outreach	Non-targeted/broad community	Public notice of the Public comment draft and period would be available April 1-April 30, 2021.	two comments	n/a	https://www.hennepin.us/your-government/research-data/housing-plans-accomplishments

Table 4 – Citizen Participation Outreach

AP-15 Expected Resources - 91.420(b), 91.220(c)(1,2)

Introduction

Below is an estimate of expected resources based prior years' allocations and amounts approved by Congress.

If we receive more or less CDBG than anticipated, we may increase or decrease admin but will maintain a 15% allocation for public services and change each public service project's funding proportionately. We will also adjust proportional allocations to cities with need greater than 3.5% of the total, and those cities will adjust their homeowner rehab budgets as needed. For the balance of CDBG – the consolidated pool - the Land Trust project will be increased or decreased to reflect increases or decreases in our overall CDBG funding.

If we receive more or less HOME than anticipated, either admin or the Land Trust activity will be increased or decreased as necessary.

If we receive more or less HESG than anticipated, we will increase or decrease the amount made available in HHS's request for proposals.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,960,586	500,000	0	3,460,586	11,739,952	Assumes annual allocation remains constant and \$500,000 per year of Program Income

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	1,770,274	274,309	0	2,044,583	7,180,900	Assumes annual allocation remains constant
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	250,280	0	0	250,280	1,018,520	Assumes annual allocation remains constant

Table 2 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Federal funds will be leveraged by funds from Minnesota Housing, multi-family housing revenue bonds, mortgage revenue bonds, project-based Section 8, low-income housing tax credits, and the McKinney-Vento Homeless Assistance programs, Hennepin County Affordable Housing Incentive

Funds (local), developer equity, and philanthropic sources such as the Family Housing Fund or the Local Initiatives Support Corporation.

Required Consolidated Plan formula matches will be obtained in this way:

- HOME funds are generally a small financial contribution to a much larger project total development cost and so the 25% match can be met through a variety of sources. HOME projects are awarded additional points based on the percent of match funding available for that project. Cumulatively, the Consortium has exceeded match requirements with approximately \$30 million in excess match.
- The 1:1 match for ESG will come from state and local funds also awarded through Hennepin County's RFP for Rapid Rehousing.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Hennepin County will consider the Consolidated Plan goals, along with other county priorities, when disposing of excess parcels remaining from transit projects or development projects. Hennepin County Housing and Economic Development regularly works with Resident Real Estate Services (RRES) on leveraging tax forfeit property for affordable housing. Hennepin County will continue to work with RRES and the cities to explore potential sites for future affordable housing projects.

Discussion

n/a

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information, goal descriptions

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
Need addressed: Preserve & create rental opportunities							
1	Develop or Rehab Affordable Rental Housing	2020	2024	Affordable Housing Public Housing	Assist in the creation of new rental housing opportunities affordable to households at or below 60% of the area median income (AMI), with highest priority for units affordable to households at or below 30% AMI.	CDBG: \$89,731 HOME: \$1,000,000	Rental units developed: 60 Rental units rehabilitated: 28
2	Tenant Based Rental Assistance	2020	2024	Affordable Housing Homeless Non-Homeless Special Needs	Provide Tenant Based Rental Assistance (TBRA) to low-income households to preserve their housing or to move from homelessness into permanent housing. The priority populations are households who are homeless, formerly homeless, or at imminent risk of homelessness with initial income at or below 50% AMI with the highest priority/preference for 30% AMI.	HOME: \$430,000	Tenant-based rental assistance / Rapid Rehousing: 40 Households Assisted
n/a	Develop or Rehab Special Needs Housing	2020	2024	Affordable Housing Non-Homeless Special Needs	Provide capital funding assistance to develop or rehab affordable housing for low-income special needs households at or below 60% AMI, with highest priority for 30% AMI as highest priority.	\$0	Rental units rehabilitated: 0
Need addressed: Affordable homeownership development and preservation							

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
3	Develop homes for homeownership	2020	2024	Affordable Housing	Acquisition of single-family properties for rehabilitation and resale designed to stabilize neighborhoods and preserve existing housing stock, providing housing opportunities for low to moderate-income households at or below 80% AMI, with highest priority for below 60% AMI. Program Income is often recycled in this goal.	CDBG: \$371,286 HOME: \$387,556	Homeowner Housing Added: 15 Household Housing Unit
12	Direct Homebuyer Assistance	2020	2024	Affordable Housing	Provide financial assistance to income eligible households, bridging the gap between the mortgage and household affordability, based on the home purchase price. Homebuyers must be at or below 80% AMI, with 60% AMI as highest priority.	CDBG: \$150,000	Homebuyers assisted: 16
6	Homeowner Rehabilitation Assistance	2020	2024	Affordable Housing Non-Homeless Special Needs	Provide loans to low to moderate-income homeowners for necessary home improvements or emergency repairs. Program Income is often recycled in this goal.	CDBG: \$1,268,312	Homeowner Housing Units Rehabilitated: 56

Need addressed: Housing for people experiencing homelessness

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
n/a	Develop Housing for People who are Homeless	2020	2024	Affordable Housing Homeless	Provide capital funding for affordable housing for people experiencing homelessness. Funding for mixed income units, which include homeless units, is captured in "Capital Funding to Build/Rehab Units" unless all units in the development are for people experiencing homelessness. 30% AMI is the highest priority.	\$0	
17	Rapid Rehousing for People who are Homeless	2020	2024	Homeless	Provide housing relocation and stabilization services, short- and medium-term rental assistance, to transition households living in shelters or inhabitable living conditions into permanent housing and achieve sustainable living/stability.	ESG: \$250,280	Tenant-based rental assistance / Rapid Rehousing: 60 Households Assisted
Need addressed: Promote education, outreach, and services							
4	Emergency Assistance	2020	2024	Non-Housing Community Development	Provide emergency financial assistance for basic needs to households with incomes below 80% AMI, with highest priority for households below 30% AMI.	CDBG: \$250,000	Public service activities other than Low/Moderate-income Housing Benefit: 647Persons Assisted
7	Tenant Advocacy	2020	2024	Non-Housing Community Development	Provide assistance to agencies that provide legal advice to renters regarding tenant law.	CDBG: \$20,000	Public service activities other than Low/Moderate-income Housing Benefit: 240 Persons Assisted

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
n/a	Financial Literacy	2020	2024	Non-Housing Community Development	Provide counseling services to homeowners for foreclosure prevention, consumer education and awareness, and homeowner purchase counseling for low to moderate-income households.	\$0	
15	Senior Services	2020	2024	Non-Housing Community Development	Collaborate with supportive service agencies to assist seniors with chore services to remain independent in their homes as long as possible, and cope with stress and adjustments correlated with retirement and aging.	CDBG: \$20,000	Public service activities other than Low/Moderate-income Housing Benefit: 77 Persons Assisted
n/a	Youth Programming	2020	2024	Non-Housing Community Development	Provide assistance in funding youth programming with a focus on providing a healthy and positive atmosphere.	\$0	
14	Youth Counseling	2020	2024	Non-Housing Community Development	Provide youth counseling interventions for at-risk teens to address or prevent serious issues.	CDBG: \$15,000	Public service activities other than Low/Moderate-income Housing Benefit: 11 Persons Assisted
16	Senior Center Programming	2020	2024	Non-Housing Community Development	Provide health and wellness education, recreation activities, associated transportation programs and coordination of meals programs to seniors through Senior Centers.	\$0	

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
8	Domestic Abuse Counseling	2020	2024	Non-Housing Community Development	Provide support to domestic abuse victims such as information and advocacy services to help navigate the court system as they pursue criminal charges against an assailant.	CDBG: \$10,000	Public service activities other than Low/Moderate-income Housing Benefit: 950 Persons Assisted
13	Job Training	2020	2024	Non-Housing Community Development	Fund job training, job placement, and other employment support services carried out by qualified entities specifically designed to increase HUD-approved revitalization strategies that promote economic opportunities.	CDBG: \$35,000	Public service activities other than Low/Moderate-income Housing Benefit: 225 Persons Assisted
Need addressed: Neighborhood revitalization							
n/a	Acquisition and/or Demolition of Structures	2020	2024	Non-Housing Community Development	Encourage neighborhood revitalization through activities to improve blighted, deteriorated, undeveloped, or inappropriately developed real property preventing sound community development and growth.	\$0	
5	Code Enforcement	2020	2024	Non-Housing Community Development	Provide targeted code enforcement in low- and moderate-income areas to complement strategic efforts that abate neighborhood decline and preserve housing stock and encourage neighborhood revitalization.	CDBG: \$17,500	Housing Code Enforcement/ Foreclosed Property Care: 400Household Housing Unit

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
16	Build/Improve Public Facilities or Infrastructure	2020	2024	Non-Housing Community Development	Provide financing for public facility new construction, rehabilitation, infrastructure, and streetscape improvements.	CDBG: \$200,000	Public Facility or Infrastructure Activities other than Low/Moderate-income Housing Benefit: 127753 Persons Assisted
Need addressed: Economic development							
n/a	Business Assistance	2020	2024	Non-Housing Community Development	Facilitate economic development through micro enterprise assistance (assisting a business with five or fewer employees), financial or technical assistance to private entities, and commercial or industrial improvements.	\$0	
Administration and oversight							
9	Administration	2020	2024	Administration	Twelve percent of CDBG program funds and ten percent of HOME Consortium funds will be used to help defray the costs incurred by Hennepin County for general program administration costs (planning, general management, oversight, coordination, evaluation, and reporting).	CDBG: \$320,935 HOME: \$177,027	
10	CHDO Operating	2020	2024	CHDO	Operating support to foster and maintain Community Housing Development Organizations as required by the HOME statute.	HOME: \$50,000	Other: 1 Other

IDIS ID	Goal Name	Start Year	End Year	Category	Description	Funding	Goal Outcome Indicator
11	Fair Housing Activities	2020	2024	Fair Housing	One percent of administration dollars will be used to affirmatively further fair housing as identified in the Analysis of Impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions. Persons assisted are not reported since fair housing activities are considered general administration expenses.	CDBG: \$29,176	Other: 1 Other

Table 3 – Goals Summary

AP-35 Projects - 91.420, 91.220(d)

Introduction

1. A Projects to be funded for the 2021 Program Year.

#	Project Name
1	PPL - Union Flats
6	Stable HOME
8	Maple Grove Scattered Site Rehab
7	WHAHLT
9	Brooklyn Center Direct Homebuyer Assistance
10	Homeowner Rehab
11	City of Richfield Direct Homebuyer Assistance
30	Richfield Scattered Site Rehab
2	ESG21 Hennepin County
12	Intercongregation Communities Association (ICA)
13	Interfaith Outreach and Community Partners (IOCP)
14	Somali Community Resettlement Services (SCRS)
15	Saint Louis Park Emergency Program (STEP)
16	Lao Assistance Center
17	Community Emergency Assistance Program (CEAP)
18	HOME Line Tenant Advocacy
19	Avenues for Youth
20	Volunteers of America Minnesota (VOA-MN)
21	West African Family Community Services
22	Treehouse for Youth
23	Sojourner Project
24	CAPI USA
25	Salvation Army Emergency Assistance
31	Community Action Partnership of Hennepin County (CAPHC)
26	Brooklyn Park Code Enforcement
27	Brooklyn Park Lakeland Park
28	City of Osseo Sidewalk Rehab
29	City of Brooklyn Park ADA Improvements
3	Program Administration
4	Fair Housing activities
5	CHDO Operating

Table 4 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Allocation priorities follow the needs identified in the community engagement, consultations, and data analysis for the 2020-2024 Consolidated Plan. They support the development and preservation of affordable housing, creating housing opportunities for homeless persons, promotion of public services, revitalization of neighborhood, stimulating economic development, and supporting Fair Housing, CHDOs, and administration. Throughout, efforts were made to prioritize activities impacting residents with lowest incomes.

AP-38 Project Summary

Project Summary Information

Affordable multifamily housing development and preservation		
1	Project Name	PPL - Union Flats
	Target Area	
	Goals Supported	Develop or Rehab Affordable Rental Housing
	Needs Addressed	Preserve & create rental opportunities
	Funding	HOME: \$1,000,000
	Description	Project for Pride in Living (PPL), a nonprofit, is developing Union Park Flats a 60-unit mixed-income project. Union Park Flats will use sustainable building concepts and contribute to a diverse, walkable transit station area.
	Target Date	6/30/2023
	Estimate the number and type of families that will benefit from the proposed activities	Union Park Flats will be a 60-unit project. Most of the units in the building are sized for families: 30 two-bedrooms, 10 three-bedrooms and 5 four-bedrooms. There will also be 15 smaller units one-bedrooms and studios. It will serve incomes ranging from 30% to 80% of the area median income (AMI) with most of the units will be set aside for households with incomes less than 50% of AMI and the average income for the building will be less than 60% AMI.
	Location Description	3700 Alabama Ave & 6027 37th Street W., St. Louis Park
Planned Activities	Union Park Flats will be a 60-unit project. Most of the units in the building are sized for families: 30 two-bedrooms, 10 three-bedrooms and 5 four-bedrooms. There will also be 15 smaller units one-bedrooms and studios. It will serve incomes ranging from 30% to 80% of the area median income (AMI) with most of the units will be set aside for households with incomes less than 50% of AMI and the average income for the building will be less than 60% AMI.	
6	Project Name	Stable HOME
	Target Area	
	Goals Supported	Tenant Based Rental Assistance
	Needs Addressed	Preserve & create rental opportunities
	Funding	HOME: \$430,000
	Description	Continuation of the Tenant Based Rental Assistance (TBRA) program we call Stable HOME. The assistance will offer households the opportunity to choose housing that provides acceptable space and quality.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	40 households who are homeless, formerly homeless, or at imminent risk of homelessness at or below 50 percent Area Median Income (AMI) with a priority/preference for 30 percent AMI.

	Location Description	Suburban Hennepin County
	Planned Activities	Provide eligible households monthly rental assistance, annually renewable for up to three years, to pay the difference between the gross rent and at least 40 percent of their adjusted, gross monthly income. There will be a minimum total tenant payment of \$50.
8	Project Name	Maple Grove Scattered Site Rehab
	Target Area	
	Goals Supported	Develop or rehab affordable rental housing
	Needs Addressed	Preserve & create rental opportunities
	Funding	CDBG: \$42,744
	Description	The City of Maple Grove owns and manages 28 dwellings as part of the City's Scattered Site Rental Housing Program. These funds will be used directly for the rehabilitation of these units. Continued maintenance and repair of these units ensures safe and updated conditions of the properties to best serve the needs to both existing and future residents.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	28 very low-income households residing in the Maple Grove Scattered sites.
	Location Description	City of Maple Grove
Planned Activities	The City of Maple Grove will rehabilitate a portion of their 28-unit portfolio of rental homes.	
Affordable homeownership development and preservation		
7	Project Name	WHAHLT
	Target Area	
	Goals Supported	Develop homes for homeownership
	Needs Addressed	Preserve & create homeownership opportunities
	Funding	CDBG: \$371,286 HOME: \$387,556

	Description	West Hennepin Affordable Housing Land Trust (WHAHLT), a nonprofit and a Community Housing Development Organization (CHDO), will create affordable homeownership opportunities and preserve affordable homeownership in suburban Hennepin County for workforce families of low-to-moderate-income households at or below 80% of the Area Median Income (AMI). WHAHLT, through its program called Homes Within Reach, will purchase and resell homes under the land trust model of ownership. Under this model, the land trust owns the land and leases it to the buyers of the improvements on the land. The improvements are the house and other structures on the property. WHAHLT retains ownership of the land and sells the improvements only to households at an affordable price. When selling the homes to eligible homebuyers, a 99-year ground lease is signed by the buyer which places restrictions on future resale of the property to only qualified buyers and it limits the equity they are able to take with them. Even though there are restrictions, it also allows the homeowner secure, long-term rights to the use of the land and the ability to earn equity. The homeowner is responsible for the payment of all real estate taxes on the house and on the land.
	Target Date	12/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	CDBG: Five households at or below 80% AMI HOME: 10 households at or below 80% AMI
	Location Description	CDBG: Edina, Maple Grove, St. Louis Park, and cities served by the consolidated pool of CDBG funds. HOME: Suburban Hennepin County
	Planned Activities	West Hennepin Affordable Housing Land Trust will create affordable homeownership opportunities and preserve affordable homeownership by purchasing and reselling homes under the land trust model of ownership.
9	Project Name	Brooklyn Center Direct Homebuyer Assistance
	Target Area	
	Goals Supported	Direct Homebuyer Assistance
	Needs Addressed	Preserve & create homeownership opportunities
	Funding	CDBG: \$100,000
	Description	First time homebuyer assistance for Brooklyn Center Residents
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Eleven households at or below 80% AMI
	Location Description	Brooklyn Center

	Planned Activities	Direct Homebuyer Assistance
10	Project Name	Homeowner Rehab
	Target Area	
	Goals Supported	Homeowner Rehabilitation Assistance
	Needs Addressed	Preserve & create homeownership opportunities
	Funding	CDBG: \$1,768,312
	Description	Provide loans to low to moderate-income homeowners for necessary home improvements or emergency repairs. (Note that the estimated Program Income is included in this, as it is typically recycled in this project.)
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	56 low-income homeowners provided with rehab assistance.
	Location Description	suburban Hennepin County
	Planned Activities	Administration of deferred loans of up to \$30,000 to assist low- and moderate-income owner-occupants in carrying out repairs to sub-standard homes. Eligible work includes, but is not limited to addressing health, safety, accessibility, energy efficiency and lead-based paint and radon hazards. Applications are accepted throughout the year on a "first-come-first-served" pipeline basis. To the greatest extent feasible, these funds are leveraged with resources from HUD Lead Hazard Demonstration Grants, Healthy Homes Grants and Minnesota Housing Loans also administered by Hennepin County. Weatherization funds may also be accessed through the Sustainable Resources Center. Using a scope of work prepared by Hennepin County staff, the homeowner secures competitive bids with contracts awarded to the lowest acceptable bidder. Upon completion of work, Hennepin County reimburses the contractor directly following the homeowner's approval. This includes an estimated \$500,000 in estimated Program Income.
11	Project Name	City of Richfield Direct Homebuyer Assistance
	Target Area	
	Goals Supported	Direct Homebuyer Assistance
	Needs Addressed	Preserve & create homeownership opportunities
	Funding	CDBG: \$50,000
	Description	This is a new program aimed at low and moderate-income first-time homebuyers who are looking to buy homes in Richfield. It will provide no interest, deferred loans of up to \$15,000 to use towards down payment and closing costs.
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	five low-income households
	Location Description	Richfield
	Planned Activities	Direct homebuyer assistance
30	Project Name	Richfield Scattered Site Rehab
	Target Area	
	Goals Supported	Homeowner Rehabilitation Assistance
	Needs Addressed	Preserve & create homeownership opportunities
	Funding	CDBG: \$80,000
	Description	Homeowner rehabilitation assistance
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	Three households at or below 80% AMI.
	Location Description	Within the city of Richfield
	Planned Activities	Homeowner Housing Rehabilitated
	Housing for people experiencing homelessness	
2	Project Name	ESG21 Hennepin County
	Target Area	
	Goals Supported	Tenant Based Rental Assistance Rapid rehousing for people who are homeless
	Needs Addressed	Housing Opportunities for Homeless Populations
	Funding	ESG: \$250,280
	Description	Project will fund rapid rehousing and HMIS
	Target Date	6/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	60 homeless
	Location Description	Hennepin County
	Planned Activities	Project will fund rapid rehousing and HMIS
Promote education, outreach, and services		
12	Project Name	Intercongregation Communities Association (ICA)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000

	Description	Rent Assistance to prevent eviction, families going into shelters and neighbors living on the streets. ICA case managers meet with neighbors to ensure the proper criteria is met and the situation is solvable. Providing one month's rent assistance enables neighbors to get back on track and continue living in their current homes.
	Target Date	6/23/2022
	Estimate the number and type of families that will benefit from the proposed activities	40 low-income and moderate-income households
	Location Description	
	Planned Activities	Rent Assistance to prevent eviction, and some case management and support.
13	Project Name	Interfaith Outreach and Community Partners (IOCP)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	These funds will provide emergency assistance for housing, utilities, medical expenses, and car repairs for low-income families living in the seven Consolidated Pool communities served by Interfaith Outreach. These funds, paid directly to landlords and other providers of services, will help families receive the emergency support they need to avoid eviction, prevent homelessness, receive necessary medical care, and keep their job.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	20 low-income and moderate-income households
	Location Description	
	Planned Activities	Emergency assistance for housing, utilities, medical expenses, and car repairs
14	Project Name	Somali Community Resettlement Services (SCRS)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	SCRS will assist East African refugees with emergency assistance for housing and utilities. Individuals will receive assistance in their native language(s) with case management, financial literacy education, job search assistance if needed, and resource navigation/referrals

	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	40 Low-income and moderate-income East African refugee households
	Location Description	
	Planned Activities	Emergency assistance for housing and utilities, case management, and some services
15	Project Name	Saint Louis Park Emergency Program (STEP)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	STEP's emergency financial assistance program aims to prevent homelessness among St. Louis Park residents by providing a grant of up to one month of rental assistance or mortgage for families facing eviction or foreclosure. Recipients must demonstrate likelihood of being able to continue paying housing costs in the months after receiving their one-time grant. STEP prioritized eligible families experiencing the greatest need
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	20 low-income and moderate-income households facing eviction or foreclosure in St. Louis Park
	Location Description	St. Louis Park
	Planned Activities	One month of rental assistance or mortgage assistance
16	Project Name	Lao Assistance Center
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	Lao Assistance Center will provide financial assistance and 1-on-1 rental assistance counseling to help Lao community members access utility assistance and/or obtain less expensive/affordable apartment units. Lao Assistance Center counselors help community members with the housing search, completing housing applications, securing financial assistance and securing affordable housing units.
	Target Date	6/30/2022

	Estimate the number and type of families that will benefit from the proposed activities	49 low-income and moderate-income households
	Location Description	
	Planned Activities	Emergency assistance
17	Project Name	Community Emergency Assistance Program (CEAP)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$55,000
	Description	CEAP will conduct housing advocacy, emergency financial assistance, case management, and resource navigation/ referrals.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	55 low-income and moderate-income households
	Location Description	
	Planned Activities	Emergency financial assistance, case management, and services
18	Project Name	HOME Line Tenant Advocacy
	Target Area	
	Goals Supported	Tenant Advocacy
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$70,639
	Description	HOME Line provides renters with the tools necessary to keep their homes safe, in good repair and affordable. HOME Line's Tenant Hotline provides free legal advice to tenants about landlord/tenant law. These services prevent problems ranging from homelessness to illness from unsafe living conditions, and result in reducing the use of city emergency resources.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	1964 low-income and moderate-income tenants
	Location Description	
	Planned Activities	Tenant Hotline providing free legal advice to tenants about landlord/tenant law.
19	Project Name	Avenues for Youth
	Target Area	
	Goals Supported	Homelessness Prevention and Support Services

	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$37,000
	Description	Brooklyn Avenues is a 12-bed shelter/transitional housing with intensive support services for youth ages 16 – 21 who are experiencing homelessness.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	66 low-income or moderate-income youth experiencing homelessness
	Location Description	
	Planned Activities	Emergency shelter and transitional housing
20	Project Name	Volunteers of America Minnesota (VOA-MN)
	Target Area	
	Goals Supported	Senior Services
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	VOA-MN's Culturally Responsive Elder Connections supports African American and Hmong older adults with a person-centered social service model to navigate healthcare, housing, immigration, food support, transportation, and more. This project will focus on housing (homelessness prevention) and food security.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	77 African American and Hmong older adults
	Location Description	
	Planned Activities	Homelessness prevention and food security assistance
21	Project Name	West African Family Community Services
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	A culturally connected and specific food shelf targeting low-income west African households in the northwest suburbs
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	240 low-income or moderate-income households struggling with food insecurity.

	Location Description	
	Planned Activities	Food assistance
22	Project Name	Treehouse for Youth
	Target Area	
	Goals Supported	Youth Counseling
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$15,000
	Description	Treehouse provides youth development services for at risk 7th through 12th grade teens in Hennepin County.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	11 low-income or moderate-income youth.
	Location Description	
	Planned Activities	Youth development services and counseling
23	Project Name	Sojourner Project
	Target Area	
	Goals Supported	Domestic Abuse Counseling
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$10,000
	Description	Sojourner's Community Legal Advocacy Program provides victims of domestic violence and their children 24-hour crisis intervention response, legal advocacy, safety assessment and planning, personal support, therapy, referral, court process information and options, court accompaniment, sexual assault advocacy, preparation of Protection Orders, transportation, transition and follow up support.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	925 domestic violence survivors
	Location Description	
	Planned Activities	Crisis intervention response, legal advocacy, safety assessment and planning, and other domestic violence interventions and supports
24	Project Name	CAPI USA
	Target Area	
	Goals Supported	Job Training
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$35,000

	Description	CAPI will provide culturally appropriate employment-focused case management services and certificate & credentialed cohort trainings at its Brooklyn Center-based Immigrant Opportunity Center.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	225 job low-income job seekers
	Location Description	225 job seekers
	Planned Activities	Employment-focused case management services and trainings
25	Project Name	Salvation Army Emergency Assistance
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$55,000
	Description	Emergency rental assistance for low to moderate-income households in suburban Hennepin County.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	66 low-income or moderate-income households.
	Location Description	
	Planned Activities	Emergency rental assistance
31	Project Name	Community Action Partnership of Hennepin County (CAPHC)
	Target Area	
	Goals Supported	Emergency Assistance
	Needs Addressed	Support Education, Outreach, and Services
	Funding	CDBG: \$20,000
	Description	Emergency financial assistance program aims to prevent homelessness.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	117 households
	Location Description	suburban Hennepin County
	Planned Activities	Emergency Assistance
Neighborhood revitalization		
26	Project Name	Brooklyn Park Code Enforcement
	Target Area	
	Goals Supported	Code Enforcement

	Needs Addressed	Encourage Neighborhood Revitalization
	Funding	CDBG: \$17,500
	Description	The Code Enforcement Program and Public Health Division continue to handle high levels of code violations in specific neighborhoods with aging housing stock and subsequent vacant homes. Code enforcement staff manage code violations, and track and monitor any foreclosed, aged, and vacant properties
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	400 units
	Location Description	Brooklyn Park
	Planned Activities	Code enforcement identifying housing violations in the community to improve and revitalize neighborhoods.
27	Project Name	Brooklyn Park Lakeland Park
	Target Area	
	Goals Supported	Build/Improve Public Facilities or Infrastructure
	Needs Addressed	Encourage Neighborhood Revitalization
	Funding	CDBG: \$50,000
	Description	The Department of Recreation and Parks seeks to make physical improvements and repurpose programming to a public park facility.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	5000 Brooklyn Park residents use the park annually.
	Location Description	The City of Brooklyn Park will make improvements to a local park in a low/mod area.
	Planned Activities	The City of Brooklyn Park will make improvements to a local park in a low/mod area.
28	Project Name	City of Osseo Sidewalk Rehab
	Target Area	
	Goals Supported	Build/Improve Public Facilities or Infrastructure
	Needs Addressed	Encourage Neighborhood Revitalization
	Funding	CDBG: \$100,000
	Description	Provide roughly 25% of the cost to redevelop .15 miles of sidewalks along Central Avenue and Boerboom Veterans Park, including replacement of surfaces, the construction of striping improvements at crossings, the installation of rectangular rapid flashing beacons to improve pedestrian safety, and planting new trees and vegetation long the sidewalk.

	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	All residents of Osseo 2,753 people
	Location Description	Central Avenue and Boerboom Veterans Park, Osseo
	Planned Activities	Redevelop sidewalks along Central Avenue and Boerboom Veterans Park.
29	Project Name	City of Brooklyn Park ADA Improvements
	Target Area	
	Goals Supported	Build/Improve Public Facilities or Infrastructure
	Needs Addressed	Encourage Neighborhood Revitalization
	Funding	CDBG: \$50,000
	Description	Recreation and Parks and Operations and Maintenance staff completed American Disability Act (ADA) assessments of the City's parks, trails, and buildings. Some of the primary areas identified to be deficient are: paths and surfaces for picnic tables, paths to park benches, playground safety surfaces. CDBG funds will be used to make improvements.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	5000 Brooklyn Park residents will use the park annually
	Location Description	Brooklyn Park
	Planned Activities	Make accessibility improvements in parks and facilities in Brooklyn Park
Administration and Oversight		
3	Project Name	Program Administration
	Target Area	
	Goals Supported	Administration
	Needs Addressed	Administration
	Funding	CDBG: \$363,929 HOME: \$177,027
	Description	Twelve percent of Urban Hennepin County CDBG program funds and ten percent of HOME Consortium funds will be used to help defray the costs incurred by Hennepin County for general program administration costs (planning, general management, oversight, coordination, evaluation, and reporting). Took formula decrease from this Project.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	n/a

	Location Description	n/a
	Planned Activities	General Administration for the CDBG and HOME programs.
4	Project Name	Fair Housing activities
	Target Area	
	Goals Supported	Fair Housing Activities
	Needs Addressed	Administration
	Funding	CDBG: \$29,176
	Description	This project funds the ongoing Fair Housing activities of the Hennepin County Consortium.
	Target Date	6/30/2022
	Estimate the number and type of families that will benefit from the proposed activities	n/a
	Location Description	suburban Hennepin County
	Planned Activities	Fair Housing outreach, education and enforcement in suburban Hennepin County, and coordination with similar, metro-wide activities through the Fair Housing Implementation Council (FHIC).
5	Project Name	CHDO Operating
	Target Area	
	Goals Supported	CHDO Operating
	Needs Addressed	Administration
	Funding	HOME: \$50,000
	Description	One of the requirements of the HOME Program is the support of special nonprofits called Community Housing Development Organizations (CHDO). HOME allows for the Consortium to set-aside up to 5% of the HOME allocation for the CHDO operating funds. The Consortium supports the CHDO West Hennepin Affordable Housing Land Trust (WHAHLT) through assistance with operating costs which enables WHAHLT to expand its staff capacity to manage the funding requirements. The Consortium sets aside CHDO operating funds in the form of a grant.
	Target Date	12/31/2022
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Suburban Hennepin County

	Planned Activities	<p>One of the requirements of the HOME Program is the support of special nonprofits called Community Housing Development Organizations (CHDO). HOME allows for the Consortium to set-aside up to 5% of the HOME allocation for the CHDO operating funds. The Consortium supports the CHDO West Hennepin Affordable Housing Land Trust (WHAHLT) through assistance with operating costs which enables WHAHLT to expand its staff capacity to manage the funding requirements. The Consortium sets aside CHDO operating funds in the form of a grant.</p>
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AP-50 Geographic Distribution - 91.420, 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Assistance is directed to all cities in suburban Hennepin County. The City of Woodland opted not to participate in these programs. The cities of Bloomington, Eden Prairie, and Plymouth receive CDBG directly from HUD.

Hennepin County Housing and Economic Development distributes its CDBG allocation through public services, direct allocations, and a consolidated pool.

- Public Services: The County sets aside the maximum allowed amount of CDBG for public services (15%, or \$437,639), and offers these funds throughout the balance of suburban communities
- Direct allocation cities: The County offers a proportional direct allocation of CDBG to cities with over 3.5% of the need using HUD's allocation formula (population, poverty levels (double weighted), and overcrowding). The City of Crystal qualifies for a direct allocation but opted into the Consolidated Pool. Using this year's allocation and this calculation, the following will cities receive direct allocations of funding: Brooklyn Center: \$260,097, Brooklyn Park: \$343,847, Edina: \$151,001, Hopkins: \$84,110, Maple Grove: \$132,744, Minnetonka: \$141,636, New Hope: \$100,377, Richfield: \$202,002, St. Louis Park: \$167,562
- Consolidated pool: The remaining \$546,466 are offered to projects serving the remaining 30 suburban communities.

The cities of Brooklyn Park, Brooklyn Center, and Eden Prairie have racially concentrated census tracts (Eden Prairie is a CDBG entitlement city and has a separate Action Plan attached to this document).

HOME funds are allocated in response to a request for proposals. In 2021, the selected new construction rental project is located in St. Louis Park. Homeownership projects are located throughout suburban Hennepin County.

ESG funds are available throughout suburban Hennepin County.

Geographic Distribution

Target Area	Percentage of Funds

Table 5 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The allocation of CDBG investment mirrors HUD's allocation to entitlements. HUD measures community need using poverty, population, and housing overcrowding indicators. As such, assistance is directed proportionally to the areas of greatest of need.

Discussion

n/a

AP-55 Affordable Housing - 91.420, 91.220(g)

Introduction

The Consortium ranks affordable housing as a high priority. This is evidenced, in part, by the resources dedicated to affordable housing. More than \$4.1 million of anticipated allocation (\$1.82 million of HOME, \$1.9 million of CDBG, and \$250,280 of ESG funds) will be used in suburban Hennepin County, together with program income from HOME, to assist in the development of new affordable units, rehabilitating existing affordable single and multifamily housing units, rental assistance and rapid rehousing. The following are our 2021 goals.

One Year Goals for the Number of Households to be Supported	
Homeless	97
Non-Homeless	3,049
Special-Needs	0
Total	3,146

Table 6 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	507
The Production of New Units	60
Rehab of Existing Units	81
Acquisition of Existing Units	32
Total	680

Table 7 - One Year Goals for Affordable Housing by Support Type

Discussion

Homeless and Rental Assistance goals above include the estimated number of households served by ESG rapid rehousing (60) and by the HOME tenant based rental assistance program (Stable Home) (40). Hennepin County will continue to fund activities that have been effective such as homeowner rehabilitation and the creation of new homeownership opportunities through affordable housing land trusts, while at the same time working to increase investment in activities that support households with lowest incomes.

AP-60 Public Housing - 91.420, 91.220(h)

Introduction

The county maintains strong relationships with the six Housing Agencies/Public Housing (PHAs)/Housing Redevelopment Agencies (HRAs) that have public housing units and who administer Housing Choice Vouchers in suburban Hennepin County.

Actions planned during the next year to address the needs to public housing

The capital needs of the PHAs will be addressed through funding sources outside of CDBG and HOME, including formula-allocated Capital Improvement grants from HUD and state allocation rehab funds from the Minnesota Housing Finance Agency.

The needs of public housing residents as identified by the PHAs are addressed, in part, through the public service contracts made possible by CDBG grants. While these services are not specifically targeted at public housing residents, residents may make use of CDBG-funded emergency assistance programs and job training, to name a few.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

While fostering public housing resident involvement is primarily the responsibility of the respective PHA, Hennepin County will continue to support local PHAs in their efforts and offer programs that positively impact the health and welfare of residents. Resident involvement plans vary based on the size and capacity of the local PHA, the details of which can be found in the most recent five-year plan each agency has submitted to HUD.

Several PHAs and HRA's have relationships with West Hennepin Affordable Housing Land Trust (WHAHLT) which provides homeownership opportunities for low-income families. WHAHLT is funded by HOME and CDBG.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

There are no PHAs in suburban Hennepin County that are designated as troubled.

Discussion

n/a

AP-65 Homeless and Other Special Needs Activities - 91.420, 91.220(i)

Introduction

Hennepin County has a shelter-all policy for families with children. The number of families using Hennepin County shelter decreased even more rapidly than the PIT count figures (which also included Transitional Housing and non-county shelters) from more than 1,500 families using shelter in 2014 to fewer than 500 in 2020. These reductions are attributed to three primary causes:

- Increased investment in prevention measures including new programs to prevent evictions and to problem-solve in advance of shelter entry
- Integration with employment services to support families to increase their income
- Increased capacity and better targeting of homeless designated housing programs through the Coordinated Entry System that was implemented during this period.

Over the same period, however, single adult homelessness increased by 11% (from 1,620 in the 2014 PIT Count to 1,794 in the 2020 PIT Count). This has driven an increase in unsheltered homelessness that is predominantly experienced by single adults aged 25+ (86% of the 2020 unsheltered count).

Finally, Hennepin continues to leverage State and Federal funding to expand housing programs, including three consecutive years of being awarded bonus programs through HUD Continuum of Care (CoC) funding and the recent reallocation of ~\$2.3m in annually renewable CoC funds towards new housing programs for people experiencing chronic homelessness.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Hennepin County is committed to outreach and engagement and has a robust street and school outreach program. Hennepin County has outreach services to the unsheltered homeless populations provided by nonprofit organizations, including extensive specialist youth outreach connected to Sexually Exploited Youth programming and other youth specific services. Outreach is provided at locations where people experiencing homelessness are known to congregate in the evening, including parks, overpasses, abandoned structures, and other places not meant for human habitation. Outreach efforts have extended to public transit over this past year, as people experiencing homelessness have gravitated to the new light rail system that operates all night. During the day, outreach staff focus on locations where unsheltered individuals gather – free meals, the downtown library, common “panhandling” streets, and drop-in centers. Through outreach efforts, professionals are able to develop relationships with individuals, understand their service and housing preferences, utilize best practices in engagement, and recommend policy changes and resource development priorities. Additionally, the first of what will be 100 emergency shelter dwelling units in an indoor “village” targeted to the unsheltered population came online in early 2021.

To address the disproportionate number of unsheltered Native Americans in the community, Hennepin County worked with a Native American-specific agency to launch new culturally specific outreach and low-barrier

housing programs. First launched in 2017, there are now four housing programs offering a total of 72 units of non-time limited supportive housing for people coming directly from the streets with chemical dependency issues and desiring culturally specific services. Additionally, two Native agencies have opened overnight drop-in centers in the last year in which people can seek respite during the evening hours and get connected to services. A 50-bed Native-oriented emergency shelter opened in 2020.

Hennepin County supports two daytime Opportunity Centers, which serve as one-stop shop service centers for single adults and youth. The Opportunity Centers are a key component to engage people into the shelter and Coordinated Entry system and host Healthcare for the Homeless clinics. The Youth Opportunity Center also recently extended its hours to be open for youth throughout the night.

Addressing the emergency shelter and transitional housing needs of homeless persons

Hennepin County is the primary funders of single adult, family, and youth-specific shelters in the community. At present the community provides 115 family shelter rooms (with overflow provided as needed in line with our shelter-all commitment), 443 congregate shelter beds for single adults, 76 youth-specific shelter beds, and 563 emergency and isolation hotel rooms as non-congregate shelter. The Adult Shelter Connect bed reservation system and shared HMIS allow us to allocate resources more efficiently while reducing the level of daily trauma and stress experienced by people experiencing homelessness.

In response to the increases in single adult and unsheltered homelessness in 2019, Hennepin County increased the single adult shelter budget of \$1.1m per year (bringing the total County budget to \$5m). This funded new case management services in the larger shelter, converted shelters to accommodate couples together, provided more systematic training, and establish a new small-scale women-only shelter in 2020.

Soon after the first confirmed COVID-19 case in Minnesota, Hennepin County moved hundreds of seniors and others at greatest risk of complications from COVID-19 from shelters into protective and isolation shelter in leased hotel rooms. Hennepin was one of the first in the nation to do so, and we attribute our lack of major COVID-19 outbreak amongst people experiencing homelessness to this early intervention. Hennepin used our ESG-CV and CARES Act Coronavirus Relief Funds to increase shelter case management, 24-7 capacity, and safety protocols, to create a successful “Hotels to Housing” team, to finance the “indoor village” and Native shelter referenced above, and to purchase four properties to continue protective and isolation shelter past December 2020.

Hennepin has retained some Transitional Housing, particularly for youth or households experiencing domestic violence, while others have been reshaped into Rapid Rehousing opportunities in line with HUD’s overall direction on transitional housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The Coordinated Entry System identifies people most appropriate for permanent supportive housing and those

who can benefit from rapid rehousing or transitional housing. Priority is given to veterans who cannot be served through veteran specific resources, and then to chronically homeless individuals who are chronically homeless.

Length of time homeless is also a factor in prioritization and single adult shelters are regularly updated with their current length-of-stay report (out of HMIS) to help them target assistance within the shelter. The family shelter system offers additional supports, and uses a case conferencing model, for families not eligible for existing housing interventions and to families in shelter past 45 days.

Hennepin County makes extensive use of by-name lists and case conferencing to problem solve for difficult households. Using this approach, 1,029 veterans have been stably housed since 2015, and 501 chronically homeless individuals were housed in 2019-20. Today, there are 145 homeless Veterans actively engaged on the Homeless Registry in the Hennepin CoC. 95 of those Veterans are actively engaging housing providers to establish a housing stability plan. 50 Veterans have a housing plan and the resources necessary to move into a unit and are simply waiting for a landlord to say yes.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

Hennepin County works across Departments and with local providers and foundations to coordinate homeless prevention programs and move homeless prevention assistance upstream to the point before an eviction is filed in civil court. This collaboration led to the establishment in 2020 of a Tenant Resource Center in the zip code with the highest concentration of evictions. The Tenant Resource Center offers co-located and coordinated financial assistance, mediation, legal aid, and homelessness prevention services such as the County's Emergency Assistance and Emergency General Assistance as well as homeless prevention dollars from Minnesota (Family Homeless Prevention and Assistance Program). During the pandemic, the Tenant Resource Center had to shift to a phone-based resource, but the County used CARES Act Coronavirus Relief Fund to create a 'virtual' web-based Tenant Resource Center at the end of 2020.

In 2020, Hennepin County allocated \$16.2 million of CARES Act CRF to emergency rental assistance, along with its first round of CDBG-CV. These funds were successfully distributed to households at highest risk of housing instability and of COVID-19 impacts. This support, together with the State's emergency eviction moratorium, led to cutting the number of families using shelter in half.

Many people exiting institutions meet the definition of long-term and often also chronic homelessness, and thus are housed through the Coordinated Entry System. The Corrections Department developed an effort to include stable housing as part of the discharge planning from jail for those with serious behavioral health needs, and a program to connect people with serious mental illness booked into the pre-adjudication jail with mental health in-reach prior to release from jail. Hennepin County's Healthcare for the Homeless team has developed discharge specialist capacity to work on preventing discharges from the Hennepin County Medical

Center to homelessness and will be launching a 30-bed recuperative care facility for people experiencing homelessness in 2021.

Discussion

Our priority goals over the coming years are:

- Build off what we learned in 2020 to continue strengthening homeless prevention efforts. We will work to reduce the inflow into the homeless response system by strengthening the systems that typically serve people experiencing housing instability, and by streamlining and coordinating our financial assistance programs to be more accessible and efficient
- Strengthen our emergency response system by lowering barriers, improving outcomes, and increasing capacity for under-served populations in our shelter system, continuing to target our most vulnerable and longest-term homeless residents as a priority for housing interventions, developing more culturally specific programming in response to the disproportionate impact of homelessness on communities of color
- Increasing the quantity, quality, and variety of housing programs to assist more people in exiting homelessness and avoiding returns to homelessness.
- Targeting CDBG, HOME, and County dollars to develop affordable and permanent supportive housing for vulnerable residents.

AP-75 Barriers to affordable housing -91.420, 91.220(j)

Introduction

In the 2021 program year, assuming the estimated funding of \$1.87 million of HOME and \$1.9 million of CDBG and \$250,280 of ESG, the Consortium will assist in the development of new affordable units, rehabilitating existing affordable single and multi-family housing units, and rapid rehousing in suburban Hennepin County. The county will allocate \$29,176 for the continuation of fair housing services in suburban Hennepin County. Some of these funds will support fair housing activities contracted through the Fair Housing Implementation Council (FHIC), a metro-wide collaboration of HUD entitlement jurisdictions. The FHIC and Hennepin County will direct fair housing funding toward overcoming these barriers identified in the 2020 Analysis of Impediments for Fair Housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Funding affordable housing

In order to address deepest and most disparate needs, the Consortium prioritizes rental projects with units affordable to households with incomes <30% AMI, and homeownership projects affordable to households with incomes <60% AMI. In addition, the ESG Program will assist homeless households to relocate to permanent affordable housing in non-concentrated areas and to overcome barriers that may impede their housing stability, and the Stable HOME project will offer tenant-based rental assistance to households who are homeless or unstably housed.

Zoning, regulations, and transportation

Although Hennepin County does not have authority over land use or rental licenses, it does work with partner cities to develop housing strategies. For the Southwest LRT and Bottineau Corridors, plans include goals for the development and preservation of affordable housing, as well as to modify regulatory tools to support housing development and preservation. These include:

- Developing mixed income (Inclusionary Housing) policy language and policies to promote increased density
- Creating joint financing mechanisms such as corridor wide TIF, fiscal disparities sharing, and other forms of value capture
- Developing affordability targets for housing development within ½ mile of station areas
- Utilizing Hennepin County's Transit-Oriented Development fund to provide better solutions to the problem of spatial mismatch and improve the opportunities of low-income residents

The Metropolitan Council requires cities to have comprehensive plans that address affordable housing targets and offers grants in accordance with the Livable Communities Act to encourage development of affordable housing (among other goals).

Hennepin County has also convened a task force to develop recommendations to incent and facilitate the development of Single Room Occupancy (SRO) housing. The recommendations will include options to modify City zoning and ordinances to make room for SROs, as well as design methodologies to meet existing policies.

Tenant screening practices

The Consortium continues to fund activities recommended by the regional Analysis of Impediments (AI), including providing tenant advocacy, housing discrimination testing and enforcement, and fair housing counseling. Funding to affirmatively further fair housing come from the Fair Housing Implementation Council (FHIC), a metro wide collaboration of HUD entitlement jurisdictions working together to expand housing choice, as well as 1% of the Urban County's CDBG allocation, and Hennepin County's HRA. In addition, starting in 2020, Hennepin County is prioritizing affordable housing finance dollars for projects which demonstrate inclusive tenant screening criteria.

Discussion

Staff from the Hennepin County Housing and Economic Development and Health and Human Services will continue to coordinate activities at the county and regional levels. This work includes sharing information and best practices, coordinating production goals and funding, and aligning policies affecting homeless families and individuals across jurisdictional boundaries.

AP-85 Other Actions - 91.420, 91.220(k)

Introduction

Actions planned to address obstacles to meeting underserved needs

The county meets underserved needs in a variety of ways including the following:

- social programs (safety net services such as food support, emergency shelter and cash assistance);
- help for people with developmental disabilities;
- services for seniors;
- services for veterans;
- behavioral and chemical health services;
- protective services for children and adults;
- child support; and
- health care through Medical Assistance.

Actions planned to foster and maintain affordable housing

In addition to programs and projects funded by CDBG, HOME, and ESG, Hennepin County has a variety of programs which foster and maintain affordable housing. For example:

- The Environmental Response Fund addresses environmental assessment and clean-up of property
- Hennepin County's HRA awards \$5.5 million annually to affordable housing projects through its Affordable Housing Incentive Fund (AHIF).
- The HRA launched a new Supportive Housing Program in 2019 which has already awarded \$6M in new capital funding to create 212 units of housing for people experiencing chronic homelessness, and people with severe addictions. This is the first installment in a proposed ten-year plan to develop 1,000 units of supportive housing for our lowest income and most vulnerable residents.
- The HRA invested \$2M in a "first loss" position in Greater Minnesota Housing Fund's Phase II fund to preserve naturally occurring affordable housing. Phase I preserved over 700 units of affordable housing, 560 of which are located in Hennepin County.
- Resident Real Estate Services has the ability to reduce the cost of land for affordable housing purposes.
- Continuum of Care Program provides resources for the development of supportive and transitional housing for homeless single adults, families, and unaccompanied youth.

Actions planned to reduce lead-based paint hazards

Hennepin County has two departments that address lead-based paint issues. The Hennepin County Human Services and Public Health Department case manage elevated blood-lead referrals from the Minnesota Department of Health (except in Bloomington and Richfield). All blood lead tests in the state must be reported to the Minnesota Department of Health who in turn informs the jurisdiction responsible for investigating cases where children have been poisoned. When a child's blood lead level is over 15 µg/dl the Minnesota Department of Health preforms a lead risk assessment inspection and where needed, they will issue corrective orders to fix lead-based paint hazards. Hennepin Public Health then monitors the case to ensure completion of the work. Hennepin County was recently awarded funds from the Centers for Disease Control to reduce

childhood lead poisoning. With those funds, Hennepin County now offers risk assessments to families with children testing a 5 µg/dl and above. When a family is income eligible, that family is referred to the Housing and Economic Development Department for grant assistance with the lead orders.

Hennepin County Housing and Economic Development actively engages in primary prevention of childhood lead poisoning through a multifaceted approach that includes community outreach and education, lead-based paint inspection/risk assessments, lead-safe work practices training for contractors and lead hazard reduction. We will continue to follow the lead-safe housing rule in all its federally funded activities. We address lead-based paint hazards when it provides federal and state funds toward housing activities including CDBG and HOME funded activities and through federally funded Lead Hazard Control Grants. Hennepin County has been awarded multiple HUD Office of Lead Hazard Control and Healthy Homes Grants and one Healthy Homes Production Grant. As of January 2020, the grants have completed over 4,930 lead-safe units for families in the combined jurisdictions, 3,530 in Hennepin County.

The grants also have provided funds to create a lead-safe housing registry for rental units by contracting with an internet based affordable housing listing service. The listing supports increased awareness of and access to lead-safe units for families.

Actions planned to reduce the number of poverty-level families

Hennepin County assists individuals and families to access resources that help them move into self-sufficiency. Hennepin County Human Services delivers a variety of services to individuals or families that assists with basic needs or encourages client change around specific objectives. Efforts include:

- social programs (safety net services such as food support, emergency shelter and cash assistance);
- help for people who are developmentally disabled;
- services for seniors;
- services for veterans;
- behavioral and chemical health services;
- protective services for children and adults;
- child support; and
- health care through Medical Assistance.

Hennepin County workforce development efforts help alleviate poverty by improving family and individual economic opportunities that lead to a sustainable living wage. The county works with private and non-profit sectors to train and match employees; and partners with colleges, universities, and training programs to develop a strong future workforce. Initiatives include:

- Workforce Activities Alignment - Creation of workforce coordinator position
- Workforce Entry Program (WEP) - Meeting the demand for skilled trade persons while developing the county's economic resources by providing unemployed individuals the means to earn a better living.
- Hennepin Pathways programs -- training and paid internships for marginalized communities
- Workforce Investment Network - Partnerships to create workforce opportunities for targeted

communities and reducing economic disparities

- Step-Up Program - High school internships at the county
- Employment Pays Program - Employment supports for individuals with high behavioral health needs
- Training and employment partnerships -- with NorthPoint, Urban League, Summit OIC and others.

Actions planned to develop institutional structure

Hennepin County and Minneapolis' community effort to end homelessness, initially built on the 10-year plan to end homelessness, has been a collaborative effort driven in large part by the efforts of social service agencies and faith-based organizations who have taken the lead in providing services for those experiencing homelessness in the community. Minneapolis and Hennepin County will continue to collaborate to identify needs and coordinate implementation of the ESG funding through the City-County Office to End Homelessness and the CoC. Hennepin County will continue to refine its Coordinated Entry process, bringing together all aspects of the continuum of homeless services into a unified process.

Hennepin County's service delivery has met incredible outcomes through its coordination. Hennepin County's Coordinated Entry System has successfully reduced the number of families experiencing homelessness by nearly 40%, effectively ending chronic homelessness among families, and housed 501 people experiencing chronic homelessness from 2019-2020. Those successes stemmed from strong community partnerships supporting shifts to prioritizing the most vulnerable residents. Housing for special needs has been made drastically more accessible with the advent of the Hennepin Housing Key, a centralization of real-time openings and supportive housing. Due to partnerships across the County in developing the Supportive Housing Strategy, Hennepin is poised to create 1000 new units of supportive housing for the County's priority population of residents.

Hennepin County will continue to build on the strengths of the delivery system which include a diverse and experienced base of housing, community development, and social service providers and organizations. Coordination among the state, the county, and regional and local governments has resulted in significant new policy and funding.

Several concrete steps are already working to overcome our gaps. The County's Supportive Housing Strategy has already awarded funding for housing for people with severe substance use disorders. The County has worked with the Metropolitan Urban Indian Directors (MUID) to better understand needs, and has directed federal, state, and local funds to launch several new culturally relevant homeless prevention, outreach, and permanent supportive housing programs for Native Americans. The CoC has decided to discontinue use of the biased vulnerability assessment. A liaison between Housing Stability and the Adult Behavioral Health division bridges the gap in service provision and resource knowledge.

Actions planned to enhance coordination between public and private housing and social service agencies

Coordination among the state, the county, and regional and local governments has resulted in significant planning initiatives and working policy groups, such as the Hennepin County and City of Minneapolis Commission to End Homelessness.

Another result of this coordination has been the consolidated request for proposal (RFP) issued by public and private funders statewide, including Hennepin County. Annually, the county issues its Coordinated Request for Proposals (CRFP) that includes funding from the county's Affordable Housing Incentive Fund (AHIF), Transit Oriented Development (TOD), and HOME funds. There is also purposeful coordination with staff who manage the Housing Support (formerly Group Residential Housing), and federal funding from the Continuum of Care program.

Discussion

As part of the Action Plan submittal, entitlement jurisdictions are required to certify to HUD that the entitlement jurisdictions will affirmatively further fair housing, including completion of an Analysis of Impediments (AI) to Fair Housing Choice. Hennepin County participates, along with twelve other entitlement jurisdictions, in the Fair Housing Implementation Council (FHIC) to complete a regional AI. In 2020, the FHIC completed a new "Analysis of Impediments to Fair Housing Choice: Twin Cities."

AP-90 Program Specific Requirements - 91.420, 91.220(I)(1,2,4)

Introduction

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	500,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	500,000

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate-income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate-income. Specify the years covered that include this Annual Action Plan.	95.00%

HOME Investment Partnership Program (HOME)

Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

The Consortium will only assist the HUD approved forms of homeownership as defined in 24 CFR § 92.2. Homeownership means: as ownership in fee simple title, a 99-year leasehold interest, ownership in a cooperative or mutual housing unit, or an equivalent form of ownership approved by HUD, in a one-to-four-unit dwelling, a condominium unit, a manufactured unit, or a cooperative or mutual housing unit.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

When funds are provided to West Hennepin Affordable Housing Land Trust (WHAHLT) and/or Twin Cities Habitat for Humanity (TCHFH) to assist with the acquisition of the land or the construction and/or

rehabilitation of the improvements (thus indirectly assisting the homebuyer), a resale provision is required. Both entities are using the land trust model of ownership with HOME. The owner occupancy and resale requirements will be secured by a Declaration of Covenant that will be filed on the property for the required affordability period (up to 15 years, based on the amount of HOME funds provided). The Declaration is also attached to the Ground Lease as an addendum. For more information please, see the attached Resale Provisions.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

When funds are provided to West Hennepin Affordable Housing Land Trust (WHAHLT) and/or Twin Cities Habitat for Humanity (TCHFH) to assist with the acquisition of the land or the construction and/or rehabilitation of the improvements (thus indirectly assisting the homebuyer), a resale provision is required. Both entities are using the land trust model of ownership. The owner occupancy and resale requirements will be secured by a Declaration of Covenant that will be filed on the property for the required affordability period (up to 15 years, based on the amount of HOME funds provided). The Declaration is also attached to the Ground Lease as an addendum. For more information please, see the attached Resale Provisions.

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

The Consortium does not plan to use HOME funds to refinance existing debt.

Emergency Solutions Grant (ESG)

1. Include written standards for providing ESG assistance (may include as attachment)
Eligibility, Prioritization, and Referral: Eligible households are Hennepin County residents who are literally homeless (Category 1) at program entry, whose gross household annual income is at or below 30% of the Area Median Income, who are prioritized for rapid rehousing based on the current version of the Hennepin County Coordinated Entry System Manual, and who are referred by a Coordinated Entry System Housing Referral Coordinator.
Housing Stability / Identification Service Standards: All households eligible for ESG-funded rapid rehousing services will receive housing identification and housing stability services.
 - Services cannot exceed 30 days during the period the program participant is seeking permanent housing, and cannot exceed 24 months during the period the program participant is living in permanent housing.
 - While the program participant is still experiencing homelessness, program will meet with the program participant weekly for first 2-3 months, and at least monthly thereafter.
 - Housing identification services include recruiting landlords, addressing potential barriers to landlord participation, and completing rent reasonableness assessments and Fair Market Rent Determinations to assist households in identifying affordable.

- Housing stability services include negotiating lease agreements and rental assistance agreements, completing Habitability Assessments and lead-based paint reviews, creating a Housing Stability Plan/Case Plan, and referring to on-going resources
- Participation in services should not be required to receive rapid rehousing assistance.

Direct Assistance Standards:

- Households must contribute at least 30% of their total, gross income towards rent. Providers will pay full housing costs for households with no – or very low - income and provide only move-in costs for households with incomes higher than 30% AMI (but up to 200% FPG).
- Complete re-certifications of need for direct assistance and services at three months from the date the household was housed, and every three months thereafter. Work with households toward 0% subsidies at service end.

Exit Criteria:

Households may be exited for the following reasons:

- Stable and connected to community reasons
- Has received services for 30 days during the period the program participant is seeking permanent housing, or for 24 months during the period the program participant is living in permanent housing; or has received 24 months of direct assistance.
- Income exceeds 200% FPG at quarterly recertification, or exceeds 30% AMI at 12 month recertification or thereafter.
- No longer wish to be in the program, or cannot be located
- Endangering or threatening the safety of project staff

Projects must provide formal termination notice and have an appeal process. Providers may not transfer households from one service intervention to another.

Data: Subrecipients that deliver ESG-funded rapid re-housing services will be required to ensure that data on all persons served and all activities assisted under ESG are entered in the Minnesota HMIS system. If the subrecipient is a victim service provider or a legal services provider, it may use a comparable database that collects client-level data over time and generates unduplicated aggregate reports based on the data. Information entered into a comparable database must not be entered directly into or provide to an HMIS.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

Hennepin County CoC’s Coordinated Entry System (CES) is the process by which households experiencing literal homelessness access, are assessed, and are prioritized for homeless-dedicated supportive housing programs. Hennepin has separate but coordinated CES’s for families and singles. Both CES’s:

- Cover and serve all of the Hennepin County CoC; use mobile outreach services, partnerships with geographically and culturally focused agencies, and after-hours crisis lines to assure easy access by households; and are promoted widely.
- Follow policies to address needs of households fleeing domestic violence, including privacy and confidentiality, safety planning, emergency management, and appropriate referrals.

- Employ standardized access and assessment. Trained assessors follow written policies to assess households. Households prioritized for supportive housing also complete a standard supplemental assessment of housing preferences.
- Use a uniform referral process to refer households to participating projects, which include rapid rehousing (including ESG-funded projects), transitional housing, and permanent supportive housing. Housing Referral Coordinators employ a standard process which prioritizes households with the longest periods of homelessness and households who are chronically homeless.
- Offer referral denial protocol for both projects and households.
- Require housing providers to limit barriers to enrollment, such as income, disability status, substance use, and criminal history.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations). ESG-funded services will be provided by subrecipients that are selected via a Request for Proposals (RFP) process. Following a community needs assessment and prioritization process, the County distributes an RFP through public distribution lists. The County also hosts a pre-proposal meeting to clearly convey requirements and responsibilities as a subrecipient of ESG funds. Provider agencies can propose new or expanded re-housing services. The County convenes a review panel with representatives from homeless shelters, housing programs, and other community organizations, which rates proposals based upon the provider’s qualifications and past performance, the perceived effectiveness and efficiency of the proposal, cost factors, prospects for successful outcome delivery, timeline for implementation, fit with community needs and priorities, and cultural competence. The County executes contract agreements with projects selected through the RFP process. Contracts contain a scope of work, applicable ESG requirements, and performance standards.

Hennepin County provides a higher level of oversight to newer programs, including training, technical assistance, and monitoring. The County completes on-site monitoring of each subrecipient annually, which includes technical assistance and individualized assistance.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

N/A

5. Describe performance standards for evaluating ESG.

Of households served by rapid rehousing programs:

- Participants will obtain housing in at average of 54 days from program start
- 41% of adult participants will increase income through employment from program entry to exit
- 53% of adult participants will increase non-employment income from program entry to exit
- 78% of adult participants will increase total income from program entry to exit
- 84% of all participants will exit to permanent destinations
- HMIS data will be entered in accordance with local HMIS data quality policies, per the community’s Data Quality Plan

Attachments

Citizen Participation Comments

To: Hennepin County Board Members

From: Logan Hathaway, Rachel Elsen, Nora Pearson, Kyle Thurston, Serena Raths, and Zach Gazda

Subject: Inclusion of a Rain Garden Program in the 2021 Action Plan

Date: March 30, 2021

Water is an invaluable resource. As such, we should be doing everything we can to protect it. In a world where around 90% of the world's population will live in an urban area by 2050, and where impervious surfaces in urban areas cover up to 50% of the surface, stormwater runoff in urban areas must be addressed before it's too late (Netusil et al., 2014; Polycarpou, 2010). Runoff pollution occurs when rain lands on an impermeable surface and flows over the landscape until it reaches a permeable surface like a river or soil, depositing toxic materials. Because homeowners and Hennepin County develop and maintain impermeable surfaces but don't contribute to runoff prevention, the burden of the effects is placed on residents further south along the Mississippi River, where much of our pollution flows. Polluted water can even flow as far south as the Gulf of Mexico, contributing to hypoxic "dead zones" (NOAA National Ocean Service, 2020). Rain gardens are an effective, aesthetically pleasing, and ecologically beneficial solution to solving the issue of runoff (EPA, 2020). Thus, we argue that Hennepin County should offer an informational program to residents on how to construct and maintain a rain garden.

Rain gardens use grasses and flowering perennials to collect rain water and absorb it into the ground, which reduces the amount that runs off over driveways and sidewalks (EPA, 2020). Water traveling over impermeable surfaces collects pollutants such as sediment, oil, chemicals, fertilizers, and microplastics (EPA, 2003). By providing a permeable surface, rain gardens allow for the filtration of pollutants through the soil and prevent them from reaching bodies of water (Flynn & Traver, 2013).

Funding a rain garden program would be categorized under the Community Development Block Grant Program, as outlined in the 2021 Action Plan. The only funding needed is for paying those who run the program; homeowners would pay for and install their own rain gardens, they simply need to be provided with the instructions to do it. The program we propose provides homeowners with the tools and knowledge needed to construct their own rain garden, as well as the benefits of having one. The program would be offered twice a year, one in late winter and one in early summer, so residents are well equipped in time for seasons with lots of rainfall.

In addition to our comments, we have created a sample program outline that includes an introduction to rain gardens, their benefits, and information on planning, construction, and maintenance. The outline also includes additional resources to develop a final program. This program would be provided by the county to residents, and it covers everything that is needed for homeowners to construct a rain garden on their property. Thank you for your consideration.

References

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<https://blogs.ei.columbia.edu/2010/07/13/no-more-pavement-the-problem-of-impervious-surfaces/>

Rain Garden Educational Program for Hennepin County

Intro to Rain Gardens

Rain gardens are shallow depressions in the lower areas of residential lawns that are planted using grasses and flowering perennials to collect and filter rainwater. They are typically 200-400 square feet in residential areas (NOAA, 2012). Rain gardens collect rainwater from roofs, driveways, streets, and lawns and allow it to soak into the ground. Roofs and concrete are impermeable surfaces, meaning water cannot infiltrate them and grass lawns, while they are permeable surfaces, can only absorb approximately 0.2 inches of water per hour (Patton, 2018). Alternatively, rain gardens can absorb more than 2 inches of rain water per hour (NOAA, 2012). This reduces the volume of water that travels over impermeable surfaces, collecting pollutants like oil, sediment, and fertilizers on its way to larger bodies of water (EPA, 2003).

Benefits

Having a rain garden in your yard comes with many benefits. First, it helps filter out many chemicals and toxins that would otherwise flow downstream and potentially affect our supply of drinking water (EPA, 2003). By absorbing water that flows over lawns and roads, rain gardens reduce the potential for pooling of water as well as flooding (Rain Garden Network, 2021). Not only can rain gardens be designed to fit the aesthetics of the homeowner, they can also be beneficial to local pollinators by providing habitat depending on which plants are chosen for the design.

Other benefits of having a rain garden include (Rain Garden Network, 2021)

- “Reduce mosquito breeding”
- “Recharge local groundwater” and “improved water quality”
- “Increase in beneficial insects that eliminate pest insects”
- “Enhanced sidewalk appeal”

Planning and Construction

The [NOAA Rain Garden Installation Guide](#) provides information on how to choose a location for a rain garden; this criteria includes proximity to buildings and roots, drainage rates, and slopes. After the location is decided, the guide details how to properly size the garden and how to determine which plants are best suited for different conditions. Visuals and detailed instructions are included on how to install your own rain garden. For more information on which plants to choose, [UConn Rain Gardens "How To" Guide](#) has developed a guide based on your state. Sizing, installation, and maintenance is also covered on this website. The [MN DNR](#) has a tool to select which native plants to use in landscaping plans, categorized into four groups, all of which are acceptable for use in rain gardens.

Maintenance

It is important to keep up with the maintenance of a rain garden in order to keep it functioning properly. Here are some simple steps to maintaining the quality of a rain garden (Puzey, 2014)

- Water regularly (especially in dry spells)
- Prune plants and weed the garden to promote healthy plant growth
- Replant any destroyed or dying vegetation as necessary
- If using mulch or other artificial cover, replace as needed
- Avoid using chemicals for fertilization or weeding, as they can harm valuable pollinator species
- Inspect the rain garden after heavy rainfall, remove excess sediment buildup and add extra to more eroded areas

For more detailed information about rain garden maintenance, refer to this guide:

[How to Maintain Your Rain Garden: Simple Steps to a Beautiful, Water-Friendly Landscape | MWMO](#)

References

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- UConn Rain Gardens “How To” Guide. (n.d.). NEMO. Retrieved April 1, 2021, from
<https://nemo.uconn.edu/raingardens/plants.php>

Julia Welle Ayres

From: Julia Welle Ayres
Sent: Thursday, May 6, 2021 4:44 PM
To: Nora Pearson
Cc: Kyle Thurston; Logan Hathaway; Rachel Elsen; Serena Raths; Zach Gazda
Subject: RE: [External] Written Comments for the 2021 Action Plan

All,

I'm writing with a response to your comment after consideration with other partners.

First, we agree that rain garden promotion in any way is an impactful activity, and we appreciate your attention to it!

Next, we agree that rain garden education could be funded by CDBG as a public service, and rain garden construction could also be funded with CDBG and meet a national objective if supporting households with low- and moderate incomes. Given our five-year consolidated plan priorities, however, rain garden education and construction may not earn awards in our competitive funding processes against such activities as emergency rental assistance, creation and preservation of affordable housing, and parks and trails development.

Fortunately, other programs are already providing low-cost training on rain gardens and other Natural Resources best management practices. Two in particular are:

- <https://bluethumb.org/>
- <https://minnesotawaterstewards.org/about-us/>

Additionally, Hennepin Environment and Energy Department will explore feasibility of launching rain garden promotion programming in coming years.

Thank you again for your comment and resources!

Julia Welle Ayres
Housing Development and Finance Manager
Housing and Economic Development | Hennepin County
701 4th Avenue S, Suite 400 | Minneapolis, MN 55415
612-543-4342 | julia.welleayres@hennepin.us

From: Julia Welle Ayres
Sent: Tuesday, April 13, 2021 4:48 PM
To: Nora Pearson <pear0747@umn.edu>
Cc: Kyle Thurston <thurs061@umn.edu>; Logan Hathaway <hatha119@umn.edu>; Rachel Elsen <elsen044@umn.edu>; Serena Raths <raths033@umn.edu>; Zach Gazda <gazda018@umn.edu>
Subject: RE: [External] Written Comments for the 2021 Action Plan

All,

Thank you for your interest in our Action Plan process. Your comments will be considered by County staff and our City partners, and included in the final Action Plan that is submitted to HUD.

Thank you for your participation!

Julia Welle Ayres
Housing Development and Finance Manager
Housing and Economic Development | Hennepin County
701 4th Avenue S, Suite 400 | Minneapolis, MN 55415
612-543-4342 | julia.welleayres@hennepin.us

From: Nora Pearson <pear0747@umn.edu>
Sent: Monday, April 12, 2021 12:57 PM
To: Julia Welle Ayres <Julia.WelleAyres@hennepin.us>
Cc: Kyle Thurston <thurs061@umn.edu>; Logan Hathaway <hatha119@umn.edu>; Rachel Elsen <elsen044@umn.edu>;
Serena Raths <raths033@umn.edu>; Zach Gazda <gazda018@umn.edu>
Subject: [External] Written Comments for the 2021 Action Plan

Hello! I'm part of a group of UMN students who are interested in a portion of the HUD funding from the 2021 Action Plan going towards a rain garden educational program in Hennepin County. We've attached our written comments and a sample program below. Thank you!

Nora Pearson, Kyle Thurston, Logan Hathaway, Rachel Elsen, Serena Raths, and Zach Gazda
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Julia Welle Ayres

From: Julia Welle Ayres
Sent: Thursday, May 6, 2021 12:44 PM
To: Suewatlovp@aol.com
Cc: Kevin Anderson; Kristy Janigo
Subject: RE: MICAH's Comment on Hennepin County Consortium 2021 Annual Action Plan

Sue,

Thank you for these written comments, and for your tireless attention to our work and community needs.

I am heartened to see alignment between the County and MICAH regarding our prioritization of rental housing, rental assistance, tenant screening practices, and lead hazard reduction. We did acquire four properties in 2020, and have converted one to permanent, single-room occupancy housing so far. We are anxiously awaiting guidance on the American Recovery Plan Act to learn how we can build off of these 2020 actions and our Stable Home tenant-based rental assistance program to further expand rental assistance and permanent housing in Hennepin County.

Regarding your suggested changes:

- Hennepin does not use our HOME, CDBG, or ESG resources to fund shelter contracts. With other funding, Hennepin County requires that homeless shelters specify how they will meet the priorities of people with lived experience of homelessness by incorporating the Street Voices of Change Shelter Bill of Rights into contracts.
- It is crucial that the experiences and perspectives of people who have experienced or are experiencing homelessness are brought to bear in determining principles and strategies to end homelessness. These perspectives will be incorporated when making funding decisions regarding the allocation of homeless-designated resources. We will also ensure that advocacy groups with representation of people with lived experience receive promotions of future plans and requests for feedback.
- We support addressing the homeownership disparity, and are making progress, but are limited by the expense. In our 2019 Program Year, the most recent year for which we've submitted a report, we supported 10 households in buying homes. 5 of these households were Black or African American, 2 were Hispanic/Latino, and the remaining 3 were White. The nine households at or below 60% of the area median income (AMI) required an average of \$43,000 per household from the County to afford very modest homes. The expense of supporting homeownership for low-income households is prohibitive to bringing to scale, and we will continue to innovate with our partners for new solutions.
- We agree that not everyone who has low incomes requires supportive services, and that mixed income housing provides many benefits to both the viability of the project and to the tenants. Our Supportive Housing Strategy, funded by our Housing and Redevelopment Authority (HRA), is reserved for a highly defined group of individuals with unique vulnerabilities requiring services. We work with people from the priority population to define those services. Our HOME program and HRA's Affordable Housing Incentive Fund (AHIF) primarily fund projects with a balance of units affordable at 30% / 50% / 60% / 80% of AMI.
- We can only speak for the Hennepin County Consortium, not for the full Fair Housing Implementation Committee. Since the 2020 Analysis of Impediments to Fair Housing was only approved by the Hennepin County Board in fall 2020, we have not yet identified our specific goals. This process will occur throughout 2021.

Your comments and this response will be included in our final Action Plan.

Best,
Julia

Julia Welle Ayres

1

Housing Development and Finance Manager
Housing and Economic Development | Hennepin County
701 4th Avenue S, Suite 400 | Minneapolis, MN 55415
612-543-4342 | julia.welleayres@hennepin.us

From: sue watlov phillips <suewatlovp@aol.com>
Sent: Friday, April 30, 2021 3:28 PM
To: Julia Welle Ayres <Julia.WelleAyres@hennepin.us>
Cc: Kevin Anderson <Kevin.Anderson@hennepin.us>; Kristy Janigo <Kristy.Janigo@hennepin.us>
Subject: [External] MICAH's Comment on Hennepin County Consortium 2021 Annual Action Plan

Julia Welle Ayres Housing and Economic Development Manager

April 30, 2021

**MICAH's Comments on the
Hennepin County Consortium 2021 Action Plan
Thank you for the opportunity to comment.**

MICAH Strongly supports:

1. Develop or Rehab Affordable Rental Housing 2020 -2024
Affordable Housing Public Housing Assist in the creation of new rental housing opportunities affordable to households at or below 60% of the area median income (AMI), **with highest priority for units affordable to households at or below 30% AMI.**
CDBG: \$89,731 HOME: \$1,000,000 Rental units developed: 60 Rental units rehabilitated: 28
2. Tenant Based Rental Assistance 2020 2024 Affordable Housing Homeless Non-Homeless Special Needs
Provide Tenant Based Rental Assistance (TBRA) to low-income households to preserve their housing or to move from homelessness into permanent housing. The priority populations are households who are homeless, formerly homeless, or at imminent risk of homelessness with initial income at or below 50% AMI **with the highest priority/preference for 30% AMI.**
HOME: \$430,000 Tenant-based rental assistance / Rapid Rehousing: 40 Households Assisted
MICAH is very pleased to see Hennepin County utilize HOME funds for Tenant Based Rental Assistance. The American Rescue plan will provide resources to expand this program and buy up more hotels and other housing units for permanent housing for people experiencing homelessness.
3. Tenant screening practices The Consortium continues to fund activities recommended by the regional Analysis of Impediments (AI), including providing tenant advocacy, housing discrimination testing and enforcement, and fair housing counseling. Funding to affirmatively further fair housing come from the Fair Housing Implementation Council (FHIC), a metro wide collaboration of HUD entitlement jurisdictions working together to expand housing choice, as well as 1% of the Urban County's CDBG allocation, and Hennepin County's HRA. In addition, starting in 2020, Hennepin County is prioritizing affordable housing finance dollars for projects which demonstrate inclusive tenant screening criteria.
4. Actions planned to reduce lead-based paint hazards- we support your current actions and we hope you support the passage of the Lead Safe Homes Bill in this Minnesota Legislative Session.

Suggested Changes:

1. We would like to see included that the Shelter Residents Bill of Rights in now included in Hennepin County homeless contracts.
2. To include at the decision making tables people who have recently or are experiencing homelessness or housing crises in determining principles and strategies to end homelessness.
3. To present future action plans to Street Voices of Change and Freedom from the Streets and include their input into the plan.
4. Focus on addressing the homeownership disparity as a priority in use of CDBG funds. To address this disparity, we need to significantly expand homeownership options that are affordable to Black, Indigenous, People of Color and Hispanic people in our communities.
5. We encourage the HRA 's new Supportive Housing Program in 2019 to develop their units in mixed income sites. In a recent Minnesota evaluation of supportive housing, residents in mixed income sites stayed in housing 69% (retention) at 2 years as compared to 39% retention at 2 years in buildings that were 100% supportive housing. This also gives people the option to stay in the same building when they no longer need supportive services. It is important to remember...just because you are poor and experiencing homelessness, does not mean you need supportive services. You need housing that is affordable for you.

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6. As part of the Action Plan submittal, entitlement jurisdictions are required to certify to HUD that the entitlement jurisdictions will affirmatively further fair housing, including completion of an Analysis of Impediments (AI) to Fair Housing Choice. Hennepin County participates, along with twelve other entitlement jurisdictions, in the Fair Housing Implementation Council (FHIC) to complete a regional AI. In 2020, the FHIC completed a new "Analysis of Impediments to Fair Housing Choice: Twin Cities." **Please identify the specific goals for each community in this plan in addition to certifying compliance.**

Thank you for the opportunity to comment.

Be safe and well.

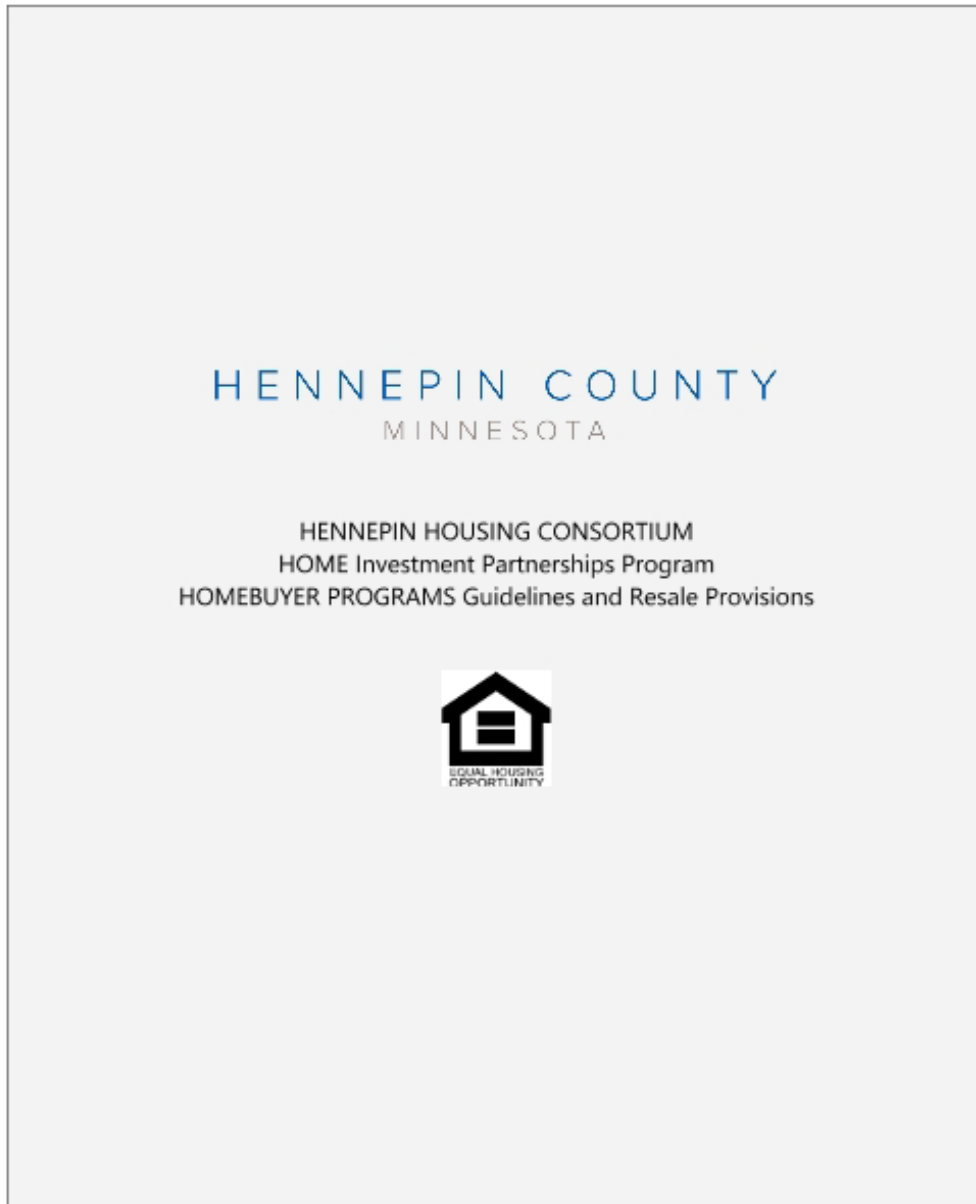
God's peace,

Sue Watlov Phillips M.A.

Executive Director

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Grantee Unique Appendices



Background:

The **HOME Investment Partnerships (HOME) Program** is funded by the U.S. Department of Housing and Urban Development (HUD). Administration of the HOME Program is the responsibility of Hennepin County and its' funded agencies shall be in compliance with HOME Statute and Regulations pertaining to affordable homeownership programs, below.

HOME STATUTE in SEC. 215. [42 U.S.C. 12745] QUALIFICATION AS AFFORDABLE HOUSING:

HOMEOWNERSHIP Housing that is for homeownership shall qualify as affordable housing under this title only if the housing

(1) has an initial purchase price that does not exceed 95 percent of the median purchase price for the area, as determined by the Secretary with such adjustments for differences in structure, including whether the housing is single-family or multifamily, and for new and old housing as the Secretary determines to be appropriate;

(2) is the principal residence of an owner whose family qualifies as a low-income family

(A) in the case of a contract to purchase existing housing, at the time of purchase;

(B) in the case of a lease-purchase agreement for existing housing or for housing to be constructed, at the time the agreement is signed; or

(C) in the case of a contract to purchase housing to be constructed, at the time the contract is signed;

(3) is subject to resale restrictions that are established by the participating jurisdiction and determined by the Secretary to be appropriate to

(A) allow for subsequent purchase of the property only by persons who meet the qualifications specified under paragraph (2), at a price which will (i) provide the owner with a fair return on investment, including any improvements, and (ii) ensure that the housing will remain affordable to a reasonable range of low-income homebuyers; or

(B) recapture the investment provided under this title in order to assist other persons in accordance with the requirements of this title, except where there are no net proceeds or where the net proceeds are insufficient to repay the full amount of the assistance; and

(4) if newly constructed, meets the energy efficiency standards promulgated by the Secretary in accordance with section 109 of this Act.

The HOME Regulations at Title 24: PART 92—HOME INVESTMENT PARTNERSHIPS PROGRAM Subpart F—Project Requirements at §92.254 Qualification as affordable housing:

Homeownership:

(a) *Acquisition with or without rehabilitation.* Housing that is for acquisition by a family must meet the affordability requirements of this paragraph (a).

(1) The housing must be single family housing.

(2) The housing must be modest housing as follows:

(i) In the case of acquisition of newly constructed housing or standard housing, the housing has a purchase price for the type of single family housing that does not exceed 95 percent of the median purchase price for the area, as described in paragraph (a)(2)(iii) of this section.

(ii) In the case of acquisition with rehabilitation, the housing has an estimated value after rehabilitation that does not exceed 95 percent of the median purchase price for the area, described in paragraph (a)(2)(iii) of this section.

(iii) If a participating jurisdiction intends to use HOME funds for homebuyer assistance or for the rehabilitation of owner-occupied single family properties, the participating jurisdiction must use the HOME affordable homeownership limits provided by HUD for newly constructed housing and for existing housing. HUD will provide limits for affordable newly constructed housing based on 95 percent of the median purchase price for the area using Federal Housing Administration (FHA) single family mortgage program data for newly constructed housing, with a minimum limit based on 95 percent of the U.S. median purchase price for new construction for nonmetropolitan areas. HUD will provide limits for affordable existing housing based on 95 percent of the median purchase price for the area using Federal FHA single family mortgage program data for existing housing data and other appropriate data that are available nation-wide for sales of existing housing, with a minimum limit based on 95 percent of the state-wide nonmetropolitan area median purchase price using this data. In lieu of the limits provided by HUD, the participating jurisdiction may determine 95 percent of the median area purchase price for single family housing in the jurisdiction annually, as follows. The participating jurisdiction must set forth the price for different types of single family housing for the jurisdiction. The participating jurisdiction may determine separate limits for existing housing and newly constructed housing. For housing located outside of metropolitan areas, a State may aggregate sales data from more than one county if the counties are contiguous and similarly situated. The following information must be included in the annual action plan of the Consolidated Plan submitted to HUD for review and updated in each action plan.

(A) The 95 percent of median area purchase price must be established in accordance with a market analysis that ensured that a sufficient number of recent housing sales are included in the survey.

(B) Sales must cover the requisite number of months based on volume: For 500 or more sales per month, a one- month reporting period; for 250 through 499 sales per month, a 2-month reporting period; for less than 250 sales per month, at least a 3-month reporting period. The data must be listed in ascending order of sales price.

(C) The address of the listed properties must include the location within the participating jurisdiction. Lot, square, and subdivision data may be substituted for the street address.

(D) The housing sales data must reflect all, or nearly all, of the one- family house sales in the entire participating jurisdiction.

(E) To determine the median, take the middle sale on the list if an odd number of sales, and if an even number, take the higher of the middle numbers and consider it the median. After identifying the median sales price, the amount should be multiplied by 0.95 to determine the 95 percent of the median area purchase price.

(3) The housing must be acquired by a homebuyer whose family qualifies as a low-income family, and the housing must be the principal residence of the family throughout the period described in paragraph (a)(4) of this section. If there is no ratified sales contract with an eligible homebuyer for the housing within 9 months of the date of completion of construction or rehabilitation, the housing must be rented to an eligible tenant in accordance with §92.252. In determining the income eligibility of the family, the participating jurisdiction must include the income of all persons living in the housing. The homebuyer must receive housing counseling.

(4) *Periods of affordability.* The HOME-assisted housing must meet the affordability requirements for not less than the applicable period specified in the following table, beginning after project completion. The per unit amount of HOME funds and the affordability period that they trigger are described more fully in paragraphs (a)(5)(i) (resale) and (ii) (recapture) of this section.

Homeownership assistance HOME amount per-unit	Minimum period of affordability in years
Under \$15,000	5
\$15,000 to \$40,000	10
Over \$40,000	15

(5) *Resale and recapture.* The participating jurisdiction must establish the resale or recapture requirements that comply with the standards of this section and set forth the requirements in its consolidated plan. HUD must determine that they are appropriate and must specifically approve them in writing.

(i) *Resale.* Resale requirements must ensure, if the housing does not continue to be the principal residence of the family for the duration of the period of affordability that the housing is made available for subsequent purchase only to a buyer whose family qualifies as a low-income family and will use the property as the family's principal residence. The resale requirement must also ensure that the price at resale provides the original HOME-assisted owner a fair return on investment (including the homeowner's investment and any capital improvement) and ensure that the housing will remain affordable to a reasonable range of low-income homebuyers. The participating jurisdiction must specifically define "fair return on investment" and "affordability to a reasonable range of low-income homebuyers," and specifically address how it will make the housing affordable to a low-income homebuyer in the event that the resale price necessary to provide fair return is not affordable to the subsequent buyer. The period of affordability is based on the total amount of HOME funds invested in the housing.

(A) Except as provided in paragraph (a)(5)(i)(B) of this section, deed restrictions, covenants running with the land, or other similar mechanisms must be used as the mechanism to impose the resale requirements. The affordability restrictions may terminate upon occurrence of any of the following termination events: foreclosure, transfer in lieu of foreclosure or assignment of an FHA insured mortgage to HUD. The participating jurisdiction may use purchase options, rights of first refusal or other preemptive rights to purchase the housing before foreclosure to preserve affordability. The affordability restrictions shall be revived according to the original terms if, during the original affordability period, the owner of record before the termination event, obtains an ownership interest in the housing.

(ii) *Recapture.* Recapture provisions must ensure that the participating jurisdiction recoups all or a portion of the HOME assistance to the homebuyers if the housing does not continue to be the principal residence of the family for the duration of the period of affordability. The participating jurisdiction may structure its recapture provisions based on its program design and market conditions. The period of affordability is based upon the total amount of HOME funds subject to recapture described in paragraph (a)(5)(ii)(A)(5) of this section. Recapture provisions may permit the subsequent homebuyer to assume the HOME assistance (subject to the HOME requirements for the remainder of the period of affordability) if the subsequent homebuyer is low-income, and no additional HOME assistance is provided.

(A) The following options for recapture requirements are acceptable to HUD. The participating jurisdiction may adopt, modify or develop its own recapture requirements for HUD approval. In establishing

its recapture requirements, the participating jurisdiction is subject to the limitation that when the recapture requirement is triggered by a sale (voluntary or involuntary) of the housing unit, the amount recaptured cannot exceed the net proceeds, if any. The net proceeds are the sales price minus superior loan repayment (other than HOME funds) and any closing costs.

(1) *Recapture entire amount.* The participating jurisdiction may recapture the entire amount of the HOME investment from the homeowner.

(2) *Reduction during affordability period.* The participating jurisdiction may reduce the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period.

(3) *Shared net proceeds.* If the net proceeds are not sufficient to recapture the full HOME investment (or a reduced amount as provided for in paragraph (a)(5)(ii)(A)(2) of this section) plus enable the homeowner to recover the amount of the homeowner's downpayment and any capital improvement investment made by the owner since purchase, the participating jurisdiction may share the net proceeds. The net proceeds are the sales price minus loan repayment (other than HOME funds) and closing costs. The net proceeds may be divided proportionally as set forth in the following mathematical formulas:

$$\frac{\text{HOME investment}}{\text{HOME investment} + \text{homeowner investment}} \times \text{Net proceeds} = \text{HOME amount to be recaptured}$$

$$\frac{\text{homeowner investment}}{\text{HOME investment} + \text{homeowner investment}} \times \text{Net proceeds} = \text{amount to homeowner}$$

(4) *Owner investment returned first.* The participating jurisdiction may permit the homebuyer to recover the homebuyer's entire investment (down payment and capital improvements made by the owner since purchase) before recapturing the HOME investment.

(5) *Amount subject to recapture.* The HOME investment that is subject to recapture is based on the amount of HOME assistance that enabled the homebuyer to buy the dwelling unit. This includes any HOME assistance that reduced the purchase price from fair market value to an affordable price, but excludes the amount between the cost of producing the unit and the market value of the property (i.e., the development subsidy). The recaptured funds must be used to carry out HOME-eligible activities in accordance with the requirements of this part. If the HOME assistance is only used for the development subsidy and therefore not subject to recapture, the resale option must be used.

Hennepin County HOME Funded Homebuyer Programs:

Hennepin County, on behalf of the Hennepin Housing Consortium, (here in referred to as "the County") receives the HOME funds and is responsible for complying with all changes in HUD regulations pertaining to the HOME program. The County's funded Homebuyer programs have the following goals:

- to increase the overall homeownership rate; and
- create greater opportunity for homeownership among lower income and minority households; and
- to revitalize and stabilize communities.

The following provisions are to assist in establishing that the County is carrying out homeownership funded activities under the HOME Program in a manner consistent with HUD requirements at 24 CFR Part 92 and local goals and objectives contained in the Consolidated and Annual Action Plans. Hennepin County must also follow all Federal, State and local nondiscrimination laws and with the rules and regulations governing Fair Housing and Equal Opportunity in housing and employment. Hennepin County and funded agencies shall not deny any family or individual the equal opportunity to apply for or receive assistance under any HOME funded activity on the basis of race, color, gender, religion, creed, national origin, age, familial or marital status, handicap or disability, sexual or affectional orientation or reliance on public assistance. Hennepin County's office is accessible to persons with disabilities.

Eligible Households (referred to as Low Income Purchasers (LI Purchasers) in the Declaration:

While the funded entities can target lower income households, all Households must have gross (income before any deductions) income does not exceed eighty percent (80%) of the Area Median Income (AMI) for the Minneapolis/St. Paul metropolitan statistical area as determined from time to time by the U.S. Department of Housing and Urban Development (HUD). Prior to approval of the assistance or property sale to the household, income eligibility will be determined by the funded entity using definition of income found at 24 CFR Part 5.609. "Household income" refers to the annual projected income as of the date of the Certification application, from all sources and before taxes and withholding, of all adults that will live in the housing unit. Gross income includes, but is not limited to salary, commissions, bonuses, self-employment, earnings from part-time employment, interest, dividends, tips, gains on sale of securities, annuities, pension, royalties, veterans administration compensation, net rental income from all sources, alimony, child support, public assistance, sick pay, social security benefits, income from business activity or investments, unemployment, estate or trust income and miscellaneous income. All income determinations will be reviewed by Hennepin County before final approval to close with the homebuyer will be given to the funded entity.

Homebuyer Education:

Households do not have to be a first-time homebuyer. The HOME regulations require that all homebuyers assisted with HOME funds must receive housing counseling. The housing counseling requirement applies to all HOME assisted homebuyers, including homebuyers receiving HOME-funded direct homebuyer assistance (i.e., down payment assistance) and homebuyers purchasing units developed with HOME funds. In all instances regardless of whether the housing counseling is funded with HOME or another source, by the Final Compliance Date of August 21, 2020, this required housing counseling must be provided by HUD certified housing counselors working for an agency approved to participate in HUD's Housing Counseling program. In the meantime, all households must complete a "qualified homebuyer training" homeownership training course and submit a participation/completion certificate, preferably with the application for assistance. It must be provided prior to final approval of assistance. For the purpose of the HOME Program, a qualified homebuyer training will be one using the curriculum called *Home Stretch*. The *Home Stretch* classes and curriculum are maintained by the HUD certified, statewide, nonprofit Home Ownership Center (HOC) and are recognized as a standard for homeownership education in Minnesota. The curriculum includes classes and one-to-one meetings with a homeownership counselor to review personal credit and income. We also allow for the completion of HOC's online *FRAMEWORK* class. The qualified HUD certified agency who provides *Home Stretch* in person training located in **suburban** Hennepin County is Community Action Partnership of Hennepin County (CAP-HC), formerly known as Community Action Partnership of Suburban Hennepin (CAPSH) www.caphennepin.org. In addition, the Home Ownership Center's website www.hocmn.org can be accessed to locate other *Home Stretch* providers in Minnesota and the online *FRAMEWORK* class.

Successful Homeownership:

Homeownership Programs depend upon the first mortgage lenders to apply **prudent** household and property underwriting standards **to support the objective of successful homeownership**. Therefore, first mortgage lenders, having the best view to make a decision about the best mortgage product (FHA, VA or Conventional), will decide what first mortgage product will be used by the household. The first mortgage loan period will need to have a fixed interest rate and typically, amortize for not less than 30 years.

Households are expected to contribute something toward the purchase. Households typically contribute his/her/their own funds toward the purchase of the home, to be applied to entry costs (inspections, appraisal fees, application fees, earnest money, prepaid expenses, etc.).

Ideally to support successful homeownership, a homebuyer's front end ratio/monthly housing payments of principal, interest, taxes and insurance (PITI) should be no more than 33 percent of the household's gross income. When determining need this amount should not be less than 28 percent. PITI amounts over or under these guidelines will be reviewed and approved by the County or the funded agency to ensure that the amount is supporting successful homeownership that is based on all facts and possible mitigating factors and used to determine any level of buyer assistance. Households must meet the requirements of the lending institution and qualify for their

first mortgage. Households must fulfill the HOME Program obligations in a timely manner and must remain eligible to participate based on the program requirements and those of the lender through the time of the loan closing.

Eligible Properties:

Existing or newly constructed properties located in **suburban** Hennepin County. Suburban Hennepin County is all of Hennepin County **except** the City of Minneapolis. **Effective April 2020**, for new construction properties the purchase price cannot exceed **\$319,000**, existing properties the purchase price cannot exceed **\$265,000**. These amounts are issued by HUD and may change from time to time.

Properties must be single-family housing, which includes a detached single family unit, a townhome unit, a condominium unit, a manufactured unit with the lot, or a cooperative or mutual housing unit. Ownership in fee simple title, a 99-year leasehold interest (CLT), ownership in a state recognized cooperative or mutual housing unit or an equivalent form of ownership approved by HUD. Properties specifically ineligible include: any located in a 100-year flood plain, manufactured housing without the lot, and recreational or seasonal property.

Properties must pass a The County inspection or for new construction, have a certificate of occupancy, to ensure they are free of all health and safety hazards in accordance with the Hennepin Housing Consortium "New Construction and Rehabilitation Standards", which are available by request.

RESALE Provisions:

The County has awarded HOME funds to two entities to complete homeownership activities. They are the West Hennepin Affordable Housing Land Trust (WHAHLT) and Twin Cities Habitat for Humanity (TCHFH). Both entities are using a Community Land Trust (CLT) model of ownership. In a Community Land Trust (CLT) model of ownership, the homebuyer only owns the improvements (house/buildings) on the land and the CLT owns the land underneath, which they lease to the homeowner.

Since the CLT model through a Ground Lease, limits the sale price to subsequent buyers and includes rights of first refusal, using a recapture provision will not meet the HOME requirements. Therefore, the County will use **Resale Provisions** to meet the HOME requirements for both entities. The HOME assistance will be used to pay development gaps, land costs and/or to provide affordability gap funds directly to the homebuyer.

The County defines "affordable to a reasonable range of low-income homebuyers" as a family at 65 to 80 percent of area median income paying no more than 33 percent of income for principal, interest, property taxes, and insurance.

HOME requires minimum affordability periods based on the amount of assistance provided to a project. Under Resale Provisions, the period is based on the **total amount of assistance** provided for each unit, as follows:

- A. \$1,000 to \$14,999 will be a Five (5) years
- B. \$15,000 to \$40,000 will be a Ten (10) years
- C. Over \$40,000 will be a Fifteen (15) years.

When each entity executes their Funding Agreement with The County, they will execute a Promissory Note for the full amount of the HOME Award. In addition, after each LI Purchaser has been determined to meet all of the requirements for approval, the applicable entity will execute a Declaration of Covenant and Restrictions (the Covenant) with the County to impose restrictions upon the Property. The Covenant shall be filed on the property running with the land to ensure that all agreements between the Entity and County and the Entity and the LI Purchaser are of public record. The Covenant will be filed prior to the Ground Lease. It will also be added to the Ground Lease as an Exhibit. Each Covenant will run for at least the applicable minimum affordability period, as noted above. Each Covenant can terminate in the event of foreclosure, transfer of title in lieu of foreclosure, or assignment of a FHA insured mortgage to HUD. The Covenant will reinforce the terms of the Ground Lease and reference applicable provisions and definitions to ensure the HOME requirements are met including the following:

- Subsequent sale are to another LI Purchaser.
- The entity may use their right of first refusal, as defined and explained the Ground Lease to ensure this requirement.
- The Property will be used as the low-income buyers' principal place of residence.
- The property be sold at a price that provides the owner a fair return, while ensuring that the housing will remain affordable to a reasonable range of low-income buyers.

The Consortium defines "a Fair Return on Investment" as described per the terms and conditions of "Transfer, Sale or Disposition of Improvements" Sections of each entities' Ground Lease. This Section also sets the sales price for the subsequent buyer. Please see the attached workbooks that walk through the calculations described in each entities' Ground Lease. Below are snapshots from each.

WHAHLT Resale Calculation			
Date:	November 16, 2017		
Property:	SAMPLE XXX		
Name:	Sally Sample		
Calculations Summary for Resale			
	Fee Simple Appraised Value (Improvements Only)	\$ 155,000.00	From "current" appraisal
Minus	Approved Capital Improvements Only	\$ 10,000.00	Less Approved Capital Improvements subject to 100% equity, if applicable as determined in a Ground lease addendum. Its subtracted here so it's not in the 35% and they get the full value of the capital improvements below.
Minus	Initial Fee Simple Appraised Value (Improvements Only)	\$ 140,000.00	From "initial" appraisal
	Appreciation of Improvements	\$ 5,000.00	Difference between "Current" Appraised & "Initial" Appraised Value
	WHAHLT 35% Appreciation Factor per Ground Lease	35%	
Equals	Homeowner's (Seller) Share of Appreciation @ 35%	\$ 1,750.00	If this is zero then it's zero
	Current Homeowner's Original Purchase Price	\$ 140,000.00	From original Purchase Agreement
Plus	100% "Qualified" Capital Improvements	\$ 10,000.00	Add back in full value of "qualified" capital improvements from a Ground Lease Amendment.
*	Improvement: bedroom	\$ 10,000.00	
*	Improvement:	\$ -	
	WHAHLT Purchase Option Price =	\$ 151,750.00	
Estimated Equity for Current Homeowner			
	WHAHLT Purchase Price Option	\$ 151,750.00	Total of Original Purchase Price + Total Equity
Minus	1st Mortgage	\$ 136,000.00	Outstanding principal -- Estimate 10 years of principal payment
Minus	2nd Mortgage	\$ 3,500.00	Outstanding principal balance
Minus	Seller's Closing Costs	\$ 2,500.00	Estimate: Closing fee, title update, state deed tax, special assessment search and Ground Lease revision etc.
Minus	Minus Outstanding Ground Lease Fees	\$ -	
Equals	Estimated Total Cash to Seller @ Closing =	\$ 9,750.00	
New buyer sales price			
	WHAHLT Purchase Price Option	\$ 151,750.00	Total of Original Purchase Price + Total Equity
Plus	Any applicable Fees	\$ -	
Equals	Purchase Price to New Owner =	\$ 151,750.00	

TCHFH Resale Calculation			
Date:	November 17, 2017		
Property:	SAMPLE XXX		
Name:	Sally Sample		
Calculations Summary for Resale			
	Current Appraised Value (land and improvements)	\$ 240,000.00	From "current appraisal" aka the third party appraisal conducted on or about the date of "Tenant's Sale Notice".
Minus	Initial Appraised Value (land and improvements)	\$ 238,000.00	From "initial" appraisal" aka the third party appraisal conducted on or about the date of the of Tenant's purchase.
	Appreciation of Property	\$ 2,000.00	Difference between "Current" Appraised & "Initial" Appraised Value
	TCHFH 25% Appreciation Factor per Ground Lease	25%	
Equals	Homeowner's (Seller) Share of Appreciation @ 25%	\$ 500.00	(Fair return) If this is zero then it's zero
	Current Homeowner's Original Purchase Price	\$ 148,000.00	From original Purchase Agreement
	Formula Price =	\$ 148,500.00	
Estimated Equity for Current Homeowner			
	Principal paid on Improvements	500.00	First mortgage minus any principal payments made to date
Plus	Homeowner's (Seller) Share of Appreciation @ 25%	\$ 500.00	
Plus	Seller applicable downpayment costs	\$ 3,458.00	amount from closing statement funds buyer brought to closing.
Equals	Estimated Total Cash to Seller @ Closing =	\$ 4,458.00	
New buyer sales price			
	Formula Price	\$ 148,500.00	Total of Original Purchase Price + Share of Appreciation
Plus	Any applicable Fees	\$ -	
Equals	Purchase Price to New Owner =	\$ 148,500.00	

Supplemental Subordinate Loans, as needed:

The County may provide direct assistance to a homebuyer, either initially or at resale to ensure affordability. The repayment provisions are laid out in the subordinate mortgage loan documents. The loan will be for the amount of funds needed to make the unit affordable to meet the standards above. The loan will be structured in the form of a zero percent, deferred, forgivable mortgage. The minimum term of the loan before forgiveness, will be based on the amount of assistance provided directly to the homebuyer, as listed below:

- A. \$1,000 to \$14,999 will be a Five (5) years
- B. \$15,000 to \$40,000 will be a Ten (10) years
- C. Over \$40,000 will be a Fifteen (15) years


The documents will state that during the loan term, the loan must be repaid at the point of sale; transfer or refinance, or if we are unable to subordinate. In the event of a voluntary or involuntary sale or transfer of title of the property, the full amount of the Loan must be repaid unless the County determines that due to market forces there are no sales proceeds or where the sales proceeds are insufficient to repay the full amount of the Loan.

Grantee SF-424's and Certification(s)

OMB Number: 4049-0004
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424			
* 1. Type of Submission:		* 2. Type of Application:	
<input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		<input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		* If Revision, select appropriate letter(s): _____	
		* Other (Specify): _____	
* 3. Date Received: _____		4. Applicant Identifier: _____ E-21-DC-27-0001	
5a. Federal Entity Identifier: _____		5b. Federal Award Identifier: _____	
State Use Only:			
6. Date Received by State: _____		7. State Application Identifier: _____	
8. APPLICANT INFORMATION:			
* a. Legal Name: Hennepin County			
* b. Employer/Taxpayer Identification Number (EIN/TIN): HI-8065301		* c. Organizational OUNS: 1490429410300	
d. Address:			
* Street	101 Fourth Avenue South		
Street2:	Suite 400		
* City:	Minneapolis		
County/Parish:	Hennepin		
* State:	MN: Minnesota		
Province:			
* Country:	USA: UNITED STATES		
* Zip/Postal Code:	55415-1843		
e. Organizational Unit:			
Department Name: Housing & Economic Development		Division Name: Housing, Development & Finance	
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix:	Ms.	* First Name:	Julia
Middle Name:			
* Last Name:	Welle Jyles		
Suffix:			
Title:	Sr. Administrative Manager		
Organizational Affiliation: _____			
* Telephone Number:	612-543-3342	Fax Number:	612-543-2170
* Email:	julia.wellejyles@hennepin.us		

Application for Federal Assistance SF-424	
<p>* 9. Type of Applicant 1: Select Applicant Type:</p> <input type="text" value="B: County Government"/> <p>Type of Applicant 2: Select Applicant Type:</p> <input type="text"/> <p>Type of Applicant 3: Select Applicant Type:</p> <input type="text"/> <p>* Other (specify):</p> <input type="text"/>	
<p>* 10. Name of Federal Agency:</p> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<p>11. Catalog of Federal Domestic Assistance Number:</p> <input type="text" value="14-210"/> <p>CFDA Title</p> <input type="text" value="Community Development Block Grant Program"/>	
<p>* 12. Funding Opportunity Number:</p> <input type="text" value="B-21-CO-27-001"/> <p>* Title:</p> <input type="text" value="2020 Community Development Block Grant Program"/>	
<p>13. Competition Identification Number:</p> <input type="text"/> <p>Title</p> <input type="text"/>	
<p>14. Areas Affected by Project (Cities, Counties, States, etc.):</p> <input type="text"/> <p style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </p>	
<p>* 15. Descriptive Title of Applicant's Project:</p> <input type="text" value="2021 Community Development Block Grant Program"/>	
<p>Attach supporting documents as specified in agency instructions.</p> <p style="text-align: center;"> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </p>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="3, 5, 6"/>	* b. Program/Project: <input type="text" value="3, 5, 6"/>
Attach an additional list of Program/Project Congressional Districts if needed:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2021"/>	* b. End Date: <input type="text" value="05/30/2022"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="2,960,000.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="500,000.00"/>
* g. TOTAL	<input type="text" value="3,460,000.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes" provide explanation and attach:	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="David"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Bough"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="County Administrator"/>	
* Telephone Number: <input type="text" value="612-248-7571"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="david.bough@benepi.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/2/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

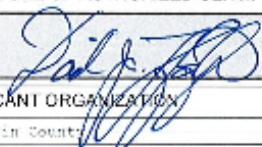
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4725-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 (d)-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

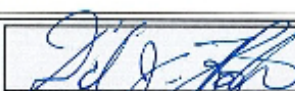
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1958 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE County Administrator
APPLICANT ORGANIZATION Hempden County	DATE SUBMITTED 5/21/2021

SF-4240 (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate title (s): _____ * Other (Specify): _____
* 3. Date Received: _____	* 4. Applicant Identifier: 09-21-DC-21-0204	
* 5a. Federal Entity Identifier: _____	* 5b. Federal Award Identifier: _____	
State Use Only:		
* 6. Date Received by State: _____	* 7. State Application Identifier: _____	
8. APPLICANT INFORMATION:		
* a. Legal Name: Hennepin County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 41-8355301	* c. Organizational DUNS: 1403129410030	
d. Address:		
* Street1: 701 Fourth Avenue South	_____	
Street2: Suite 400	_____	
* City: Minneapolis	_____	
County/Parish: Hennepin	_____	
* State: _____	MN: Minnesota	
Province: _____	_____	
* Country: _____	USA: UNITED STATES	
* Zip / Postal Code: 55415-1545	_____	
e. Organizational Unit:		
Department Name: Housing & Economic Development	Division Name: Housing, Development & Planning	
f. Name and contact information of person to be contacted on matters involving this application:		
* Title: SA	* First Name: Quinn	
Middle Name: _____	_____	
* Last Name: Kelly, Quinn	_____	
Suffix: _____	_____	
Tel: 612-673-6100 ext 1000		
Organizational Affiliation: _____		
* Telephone Number: 612-673-6100	* Fax Number: 612-673-6100	
* Email: quinn.kelly@co.hennepin.mn.us		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="B: County Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-219"/>	
CFDA Title <input type="text" value="HOME Investment Partnerships Program"/>	
* 12. Funding Opportunity Number: <input type="text" value="20-21-DC-27-0204"/>	
* Title <input type="text" value="HOME Investment Partnerships Program"/>	
13. Competition Identification Number: <input type="text"/>	
Title <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="2021 HOME Investment Partnerships Program"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant <input type="text" value="1, 5, 6"/>	* b. Program/Project <input type="text" value="1, 5, 6"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="09/01/2021"/>	* b. End Date: <input type="text" value="06/30/2022"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="1,970,271.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="214,308.00"/>
* g. TOTAL	<input type="text" value="2,943,583.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (if "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
if "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> ** I AGREE	
<small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
Prefix <input type="text" value="Mr."/>	* First Name <input type="text" value="David"/>
Middle Name <input type="text" value="J"/>	
* Last Name <input type="text" value="Houch"/>	
Suffix <input type="text"/>	
* Title <input type="text" value="County Administrator"/>	
* Telephone Number <input type="text" value="812-348-1574"/>	Fax Number <input type="text"/>
* Email <input type="text" value="david.houch@kentucky.gov"/>	
* Signature of Authorized Representative: 	* Date Signed <input type="text" value="5/8/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

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As the duly authorized representative of the applicant, I certify that the applicant:

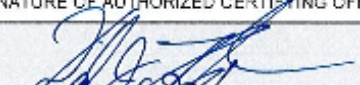

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
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9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

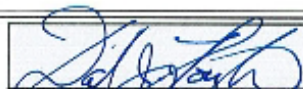
11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1509 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §275c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 105 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Producing a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE County Administrator
APPLICANT ORGANIZATION Bannock County	DATE SUBMITTED 

SF-424C (Rev. 7-97) Back

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
3. Date Received: _____	4. Applicant Identifier: K-21-00-27-006	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
8. APPLICANT INFORMATION:		
* a. Legal Name: Hennepin County		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 01-6095391	* c. Organizational DUNS: 1000129413003	
d. Address:		
* Street1: 701 Fourth Avenue South	_____	
Street2: Suite 400	_____	
* City: Minneapolis	_____	
County/Parish: Hennepin	_____	
* State: MN: Minnesota	_____	
Province: _____	_____	
* Country: USA: UNITED STATES	_____	
* Zip / Postal Code: 55415-1043	_____	
e. Organizational Unit:		
Department Name: Housing & Economic Development	Division Name: Housing, Development & Finance	
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Ms.	* First Name: Julia	_____
Middle Name: _____	_____	
* Last Name: Wells Byres	_____	
Suffix: _____	_____	
Title: Sr. Administrative Manager		
Organizational Affiliation: _____		
* Telephone Number: 612-661-4192	Fax Number: 612-348-9173	
* Email: julia.wellsbyres@hennepin.us		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="01: County Government"/> Type of Applicant 2: Select Applicant Type <input type="text"/> Type of Applicant 3: Select Applicant Type <input type="text"/> * Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="U.S. Department of Housing and Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text" value="14-231"/> CFDA Title: <input type="text" value="Emergency Solutions Grant"/>	
* 12. Funding Opportunity Number: <input type="text" value="E-01-00-001"/> * Title: <input type="text" value="2020 Emergency Solutions Grant"/>	
13. Competition Identification Number: <input type="text"/> Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="2020 Emergency Solutions Grant"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="1, 5, 6"/>	* b. Program/Project: <input type="text" value="1, 5, 6"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="07/01/2021"/>	* b. End Date: <input type="text" value="06/30/2022"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="250,200.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="250,200.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes" provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain the list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
Prefix: Mr.	* First Name: David
Middle Name: J	
* Last Name: Hough	
Suffix:	
* Title: County Administrator	
* Telephone Number: 612-348-7574	* Fax Number:
* Email: David.Hough@hemmegin.us	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="5/2/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0009
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:



1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance, and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-615), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 278a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(e) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1955 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470); EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, 'Audits of States, Local Governments, and Non-Profit Organizations.'
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE County Administrator
APPLICANT ORGANIZATION Hendricks County	DATE SUBMITTED 

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CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and

3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.



Signature of Authorized Official
County Administrator



Date

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).
2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program years 2019, 2020 and 2021, a three year period, shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations: and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official
County Administrator



Date

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.



Signature of Authorized Official



Date

County Administrator

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

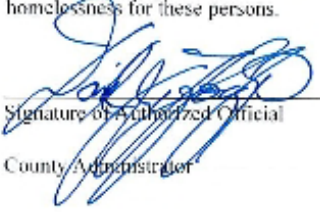
Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.



Signature of Authorized Official
County Administrator



Date

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.