## BUSINESS UTILIZATION GOALS GOOD FAITH EFFORTS GUIDELINES

Contractor shall make and document their good faith efforts to meet Project goals for CERT-certified firms. If the Project's goals for CERT-certified small businesses are not met, the County will determine if Contractor made sufficient good faith efforts to achieve the goals.

A list of certified firms can be accessed on the CERT program website at: cert.smwbe.com.

## Good faith efforts are:

- Not an afterthought
- Prompt corrective action taken when the company becomes aware goals are not being met
- Determined by the totality of circumstances
- Based on the quantity, quality, and timeliness of applicable efforts

## **Good faith efforts guidelines**

- 1. Break out subcontracted work requirements into economically feasible units, as appropriate, to facilitate small business participation.
- 2. Search for applicable CERT certified firms to include in contract opportunities and encourage eligible small businesses to apply for CERT certifications.
- 3. Solicit CERT-certified firms as early as possible during the Project's procurement phase.
- 4. Provide CERT-certified firms no less time to respond to a bid solicitation than other solicited firms and providing CERT-certified firms with the same information that is provided to other prospective subcontractors.
- 5. Negotiate in good faith with CERT-certified firms.
- 6. Attend pre-bid conferences to network with interested CERT-certified firms, whether virtual or in-person.
- 7. Participate in or sponsoring networking events to which CERT-certified firms are invited.
- 8. Utilize the available services of small business associations; local, state, and federal small business assistance offices; and other organizations that assist small businesses.

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- Direct CERT-certified firms that need additional assistance to available community resources, such as Elevate Business HC; Minnesota Small Business Assistance Office; Metropolitan Economic Development Association (MEDA) Procurement Technical Assistance Center (PTAC); U.S. Small Business Administration (SBA); SCORE; LegalCORPS; WomenVenture, etc..
- 10. Participate in a mentor-protégé program with one or more CERT-certified protégé firms.
- 11. Target advertising of subcontracting opportunities to CERT-certified firms.
- 12. Provide technical, management and financial training and counseling to CERT-certified firms.
- 13. Notify CERT-certified firms that trade union membership is not required by the County to hold a subcontract in the Project, only payment of prevailing wage rates to covered craft labor classes.
- 14. Document solicitation efforts, responses and results, e.g., work type/description of work/service/material, firm name, contact name (first and last), contact date, contact method (if by phone provide phone number, if by email...), bid amount, result, and additional comments.
- 15. Submit complete, accurate and timely documents, reports and other information as requested by County.
- 16. Offer debriefings to unsuccessful CERT-certified bidders/proposers.

These guidelines are not intended to be mandatory checklist or a complete list of all potential good faith efforts. All employment practices regarding good faith efforts must be collected and maintained in your employment files for at least two (2) years.

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